

**Security Council**

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14 February 2018

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**Letter dated 9 February 2018 from the Secretary-General  
addressed to the President of the Security Council**

I have the honour to refer to Security Council resolution 2379 (2017) of 21 September 2017, in which the Council requested that I establish an investigative team, headed by a special adviser, to support domestic efforts to hold Islamic State in Iraq and the Levant (ISIL), also known as Da'esh, accountable by collecting, preserving and storing evidence in Iraq of acts that may amount to war crimes, crimes against humanity or genocide committed by the terrorist group ISIL (Da'esh) in Iraq.

The Security Council also requested that I submit to the Council, for its approval, within 60 days, terms of reference that are acceptable to the Government of Iraq, in order to ensure that the Investigative Team can fulfil its mandate, and that are consistent with the resolution, regarding the operation of the Team in Iraq. The time frame for the submission of the terms of reference was extended to 9 February 2018 in a letter dated 24 January 2018 from the President of the Security Council addressed to me (S/2018/64).

Since the adoption of Security Council resolution 2379 (2017), the Secretariat has expended its best efforts to prepare and finalize terms of reference for the Investigative Team that are acceptable to the Government of Iraq.

On 8 February 2018, I received a note verbale from the Permanent Mission of Iraq informing me that the Government of Iraq has accepted the terms of reference for the Investigative Team.

I thus have the honour to submit to the Security Council, for its approval, the attached terms of reference for the Investigative Team (see annex).

Following the approval of the terms of reference by the Security Council, I will expeditiously appoint a special adviser as head of the Investigative Team, upon consultation with the Government of Iraq. I will also undertake without delay the steps, measures and arrangements necessary for the speedy establishment and full functioning of the Team.

I believe that these terms of reference will allow the Investigative Team to fulfil its important mandate in accordance with United Nations policies and best practice. In this regard, I intend to follow the work of the Team closely.

I wish to thank the Member States who have assisted the United Nations in reaching a positive outcome.

I should be grateful if you would bring the present letter and its annex to the attention of the members of the Security Council.

(Signed) António Guterres



**Annex****Terms of reference of the Investigative Team to support domestic efforts to hold Islamic State in Iraq and the Levant (Da'esh) accountable for acts that may amount to war crimes, crimes against humanity and genocide committed in Iraq, established pursuant to Security Council resolution 2379 (2017)**

1. The Investigative Team established by the Secretary-General pursuant to Security Council resolution 2379 (2017) of 21 September 2017 shall act consistently with the following terms of reference, which have been developed in accordance with operative paragraph 4 of resolution 2379 (2017).

**I. Mandate**

2. The Investigative Team shall support domestic efforts to hold the terrorist group Islamic State in Iraq and the Levant (ISIL), also known as Da'esh, accountable by collecting, preserving and storing evidence in Iraq of acts that may amount to war crimes, crimes against humanity and genocide committed by ISIL (Da'esh) in Iraq, to the highest possible standards, to ensure the broadest possible use before national courts, and complementing investigations being carried out by the Iraqi authorities or investigations carried out by authorities in third countries at their request.

3. The Investigative Team shall be impartial, independent and credible and shall act consistently with these terms of reference, the Charter of the United Nations, United Nations best practices and relevant international law, including international human rights law.

4. The Investigative Team shall operate with full respect for the sovereignty of Iraq and its jurisdiction over crimes committed in its territory.

**Collection**

5. The Investigative Team shall collect evidence pertaining to acts that may amount to war crimes, crimes against humanity and genocide committed by ISIL (Da'esh) in Iraq, including by conducting interviews, taking witness testimony, receiving information and documentation and acquiring forensic material.

6. The Investigative Team shall assess the evidence and materials in its possession, based on their reliability and probative value. It shall identify gaps in the evidence in its possession and the need for additional evidence and take steps, where possible and appropriate, to fill such gaps.

**Preservation and storage**

7. The Investigative Team shall systematically organize, catalogue, record, preserve and store all evidence and materials in Iraq, in accordance with international criminal law standards and taking into account Iraqi criminal and procedure laws, in order to ensure their broadest possible usability and admissibility in fair and independent criminal proceedings, consistent with applicable international law, conducted by competent domestic courts in Iraq and other States, and in any other uses to be determined in agreement with the Government of Iraq on a case-by-case basis.

8. The Investigative Team shall ensure an uninterrupted chain of custody of the evidence in its possession.

9. The Investigative Team shall be equipped with, or have at its disposal, the necessary capacities to analyse, preserve and store all forms of evidence and materials in Iraq. To this end, it may enter into agreements with Member States, bodies, organizations or corporations in order to gain access to safe, secure and reputable services and facilities which can support it in these tasks, with all due guarantees of security and strict confidentiality and respect for the privileges and immunities of the United Nations. The coordinating or steering committee, which will be designated by the Government of Iraq to ensure effective coordination between the Investigative Team and the Government of Iraq, will be duly informed before the implementation of the above-mentioned agreements.

10. Upon conclusion of the Investigative Team's mandate, the United Nations and the Government of Iraq shall agree upon arrangements regarding the custody of original versions of the evidence and materials collected, preserved and stored by the Investigative Team in Iraq, as well as any materials and analyses produced by the Investigative Team.

## **II. Structure and composition**

### **Head of the Investigative Team**

11. The Investigative Team shall be headed by a Special Adviser. The Special Adviser shall be a person of high moral character and integrity and shall possess the highest level of professional competence and extensive experience in the conduct of investigations and prosecution of criminal cases. The Special Adviser shall have a proven record of independence and impartiality and be committed to upholding justice, accountability and human rights and ensuring gender equality. The Special Adviser shall be appointed by the Secretary-General, upon consultation with the Government of Iraq, for an initial period of two years, which shall be renewable.

12. The Special Adviser shall develop, adopt and periodically review and update a strategy for the conduct of investigations, determine the work plan of the Investigative Team and put in place procedures relating to the conduct of its work.

13. In addition to leading the Investigative Team, the Special Adviser will, while avoiding duplication of effort with other relevant United Nations bodies, promote throughout the world accountability for acts that may amount to war crimes, crimes against humanity and genocide committed by ISIL (Da'esh) and work with survivors, in a manner consistent with relevant national laws, to ensure that their interests in achieving accountability for ISIL (Da'esh) are fully recognized.

### **Composition of the Investigative Team**

14. The Investigative Team will be composed of international experts and Iraqi investigative judges and other criminal experts, including experienced members of the prosecution services, who will work on an equal footing, under the authority of the Special Adviser.

15. Members of the Investigative Team shall be impartial and experienced professionals, with expertise in the following areas, inter alia: international criminal law; human rights law; international humanitarian law; Iraqi criminal law and procedure; criminal investigations and prosecution; the storage and preservation of evidence for use in criminal proceedings; military affairs; forensics, including, notably, with respect to mass graves, digital forensics, forensic pathology and forensic imagery; witness and victim protection; sexual and gender-based crimes and violence; the rights of women and children; crimes against children; trafficking in persons; and the protection of cultural heritage.

16. All members of the Investigative Team will be appointed by the Special Adviser. Iraqi investigative judges and other criminal experts will be appointed by the Special Adviser in consultation with the Government of Iraq.

17. In appointing members of the Investigative Team, due consideration shall be given to geographic diversity, representation of different legal traditions, gender balance, language skills, in particular in Arabic, and regional expertise.

18. The Special Adviser and all members of the Investigative Team shall exercise their mandate and discharge their functions in full independence and with the utmost impartiality and shall not seek or accept instructions in regard to the performance of their functions from any government or any external source. They shall maintain the highest standards of efficiency, competence and integrity in the discharge of their functions.

### **III. Standards and procedural requirements for the collection, preservation and storage of evidence**

19. The Investigative Team shall adopt procedures for collecting, preserving and storing evidence and materials in Iraq. These procedures shall be based on the highest possible standards, consistent with the Charter of the United Nations, United Nations policies and best practice, relevant international law, including international human rights law, notably the right to a fair trial and other due process provisions, as well as the relevant jurisprudence, in order to ensure the broadest possible usability and admissibility of such evidence and materials before national courts and by national investigative and prosecutorial authorities. In adopting such procedures, the Investigative Team shall take into account Iraqi criminal and procedure laws, bearing in mind that the competent Iraqi authorities shall be the primary intended recipient of the evidence collected, preserved and stored by the Investigative Team.

20. The Investigative Team shall seek to obtain from witnesses and other sources their informed consent for the Investigative Team to share evidence with Iraqi and other domestic investigative, prosecutorial and judicial authorities, and with any other competent authorities as determined in agreement with the Government of Iraq. The consent, or lack thereof, must be duly recorded by the Investigative Team. This is without prejudice to the competencies of the Iraqi and other domestic investigative, prosecutorial and judicial authorities to obtain evidence according to applicable domestic laws.

21. The Investigative Team shall take appropriate measures to respect and ensure respect for the privacy, interests and personal circumstances of victims, in the light of their age, sex, sexual orientation, gender and health, and taking into account the nature of the crime, in particular where it involves sexual violence, gender violence or violence against children.

22. The Investigative Team shall adopt procedures and methods of work for the protection of victims and witnesses, with the support of Iraq and other States, so as to ensure that witnesses, victims and any other persons who cooperate with the Investigative Team can do so in safety and security.

23. The Investigative Team shall assist in referring vulnerable victims, in particular children, women and victims of conflict-related sexual violence, who come forward to the Investigative Team, to the relevant bodies so that they are provided with appropriate support.

24. The Investigative Team shall determine and record the confidentiality classification of all evidence obtained or produced by the Investigative Team,

including its work products and analyses, in line with the United Nations policies on information sensitivity, classification and handling.

25. The Investigative Team shall adopt procedures and methods of work regarding chains of custody, data protection, information management, case management and archiving and security, which shall conform to the highest possible standards.

#### **IV. Use of evidence**

26. Subject to paragraph 30, the evidence collected, preserved and stored by the Investigative Team, as well as any materials and analyses produced by the Investigative Team, shall solely be for use in fair and independent criminal proceedings conducted by competent domestic courts in Iraq and in third States.

27. The competent Iraqi authorities shall be the primary intended recipient of the evidence collected, preserved and stored by the Investigative Team. In carrying out its functions, the Investigative Team will act with full respect for the Iraqi Constitution and Iraqi relevant laws and Iraq's right to exercise jurisdiction over crimes committed on its territory, including in ongoing proceedings. To this end, the Investigative Team shall share the evidence with the competent Iraqi authorities in accordance with the present terms of reference and with the modalities to be agreed pursuant to paragraph 45.

28. The Investigative Team shall share the evidence in accordance with United Nations policies and best practice and relevant international law, including international human rights law, rules and standards.

29. In sharing evidence, the Investigative Team shall bear in mind, as set out in Security Council resolution 2379 (2017), the importance of assisting Iraq and other States in holding ISIL (Da'esh) members accountable, in particular those who bear the greatest responsibility, including in terms of leadership, which can include regional or mid-level commanders, in order to assist in countering terrorism, including by stemming financing and the continued flow of international recruits to ISIL (Da'esh).

30. When sharing evidence with a competent State authority, the Investigative Team shall, among other procedures, provide, to the extent possible and as appropriate, certified copies of the original evidence. All original evidence shared by the Investigative Team shall be duly returned, in its original state, to the Investigative Team as soon as possible. The Investigative Team shall also obtain an assurance from the competent State authority that the evidence will not be disclosed by the competent State authority to any authority of another State. The Investigative Team shall inform the Government of Iraq in the event that it shares evidence collected by the Investigative Team with the authorities of third States.

31. Any other use of the evidence collected, preserved and stored by the Investigative Team will be determined by the Investigative Team in agreement with the Government of Iraq on a case-by-case basis.

#### **V. Cooperation**

32. The Investigative Team shall have the authority to conclude agreements with any State or entity for the implementation of its mandate.

33. The Investigative Team shall develop, in its procedures and methods of work, modalities for cooperation with States and other organizations and entities.

34. The Investigative Team will cooperate with all States, including through mutual arrangements on legal assistance, where necessary and appropriate, and in particular to receive from States any relevant information that States may possess pertaining to the Investigative Team's mandate.

35. The Investigative Team may receive funds, equipment and services, including expert personnel, from States and regional and intergovernmental organizations in support of the implementation of its mandate.

36. The United Nations and all its programmes, funds and offices shall, within their respective mandates, fully cooperate with the Investigative Team and promptly respond to its requests, including for access to information.

37. The Investigative Team shall cooperate, as appropriate, and consistent with its investigative functions as set out above, with the Analytical Support and Sanctions Monitoring Team established pursuant to Security Council resolutions 1526 (2004) and 2368 (2017) and with any other relevant monitoring bodies and work with other United Nations bodies within their respective mandates, while avoiding any duplication of efforts.

38. The Investigative Team will cooperate with relevant intergovernmental and regional organizations, as well as non-governmental organizations, as appropriate and necessary for the implementation of its mandate.

## **VI. Capacity-building**

39. The Investigative Team will liaise with States and regional and intergovernmental organizations for the provision of appropriate legal assistance and capacity-building to the Government of Iraq in order to strengthen its courts and judicial system. The Investigative Team will encourage States and regional and intergovernmental organizations to provide funds, equipment and services to the Investigative Team, to share knowledge and technical assistance with Iraq and to help build capacity in investigations, prosecutions and the judiciary in Iraq.

40. The Special Adviser and the international members of the Investigative Team shall ensure that its Iraqi members benefit from the expertise on the Investigative Team, and make every effort to share knowledge and technical assistance with Iraq.

41. The Investigative Team will cooperate with other United Nations bodies, programmes, funds and offices, within their respective mandates, to assist the Government of Iraq in developing and implementing relevant legislation, including on war crimes, crimes against humanity and genocide.

## **VII. Location and premises**

42. The Secretary-General, after consultation with the Government of Iraq, will determine the location of the offices of the Investigative Team, taking into account security, costs, the location of crime sites and any other relevant considerations.

## **VIII. Privileges and immunities**

43. The Investigative Team, its personnel, records, archives, property and assets shall enjoy the privileges and immunities, exemptions and facilities provided for in the Convention on the Privileges and Immunities of the United Nations of 13 February 1946.

## **IX. Cooperation with the Government of Iraq**

44. The Investigative Team shall liaise with the coordinating or steering committee, which will be designated by the Government of Iraq to ensure that the Investigative Team is free from interference in the conduct of its work and provide it with all necessary assistance to fulfil its mandate and effective coordination between the Investigative Team and the Government of Iraq, particularly with respect to the implementation by the competent Iraqi authorities of the following:

- (a) Freedom of movement throughout the territory of Iraq;
- (b) Unhindered access to all places and establishments, and freedom to meet and interview representatives of national, local and military authorities, community leaders, non-governmental organizations and other institutions, and any person who may have evidence that is considered necessary for the fulfilment of the mandate, in an environment of security, confidentiality and tranquillity;
- (c) Unhindered access for individuals and organizations wishing to meet the Investigative Team;
- (d) Free access to all sources of information, including documentary material and physical evidence;
- (e) Appropriate security arrangements for the personnel and documents of the Investigative Team, without restricting its freedom of movement and its investigations;
- (f) Protection of victims and witnesses and all those who come into contact with the Investigative Team, and an undertaking that no such person shall, as a result of such contact, suffer harassment, threats, acts of intimidation, ill-treatment or reprisals.

45. The Investigative Team shall liaise with the coordinating or steering committee and/or competent Iraqi authorities on modalities for the eventual use, in fair and independent criminal proceedings, of evidence of crimes collected and stored by the Team in Iraq, in accordance with the present terms of reference.

## **X. Reporting**

46. The Special Adviser shall submit to the Security Council the first report on the Investigative Team's activities within 90 days of the date on which it commences its activities, as notified by the Secretary-General, and shall present subsequent reports every 180 days thereafter, while preserving the confidential nature of its substantive work. These reports shall be in accordance with these terms of reference, in line with the mandate of the Investigative Team, with full respect for the sovereignty of Iraq and in accordance with the principles of the Charter of the United Nations.

47. The Special Adviser may, at any time, report to the Security Council any significant issue that arises in the implementation of the mandate.

## **XI. Funding**

48. The Investigative Team shall be funded through assessed contributions.

49. Additional funding will be provided to the Investigative Team through the trust fund established by the Secretary-General pursuant to paragraph 13 of Security Council resolution 2379 (2017).

## **XII. Requests from third States to conduct investigations outside Iraq**

50. In the event that the Investigative Team receives a request from a third State, in whose territory ISIL (Da'esh) has committed acts that may amount to war crimes, crimes against humanity and genocide, to collect evidence of such acts in its territory, the Special Adviser shall inform the Security Council of the request as expeditiously as possible.

## **XIII. Renewal of mandate**

51. The mandate of the Investigative Team will be reviewed after a period of two years, and any further extension shall be decided at the request of the Government of Iraq or any other government that has requested the Team to collect evidence of acts that may amount to war crimes, crimes against humanity and genocide committed by ISIL (Da'esh) in its territory.

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**Letter dated 13 February 2018 from the President of the  
Security Council addressed to the Secretary-General**

I have the honour to inform you that your letter dated 9 February 2018 (S/2018/118) concerning the terms of reference submitted pursuant to paragraph 4 of resolution 2379 (2017) has been brought to the attention of the members of the Security Council, who have taken note of it and approve the terms of reference.

(Signed) Mansour Alotaibi  
President of the Security Council

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**Letter dated 14 February 2018 from the Chair of the  
Security Council Committee pursuant to resolutions 1267 (1999),  
1989 (2011) and 2253 (2015) concerning Islamic State in Iraq and  
the Levant (Da'esh), Al-Qaida and associated individuals and  
entities addressed to the President of the Security Council**

I have the honour to transmit herewith a letter from the Legal Officer supporting the Office of the Ombudsperson transmitting a descriptive update of the activities carried out by the Office in lieu of the report requested in paragraph 20 (c) of annex II to Security Council resolution 2368 (2017), pursuant to which the Ombudsperson is to submit biannual reports to the Council summarizing her or his activities.

I should be grateful if the present letter and the descriptive update were brought to the attention of the members of the Security Council and issued as a document of the Council.

*(Signed)* Kairat Umarov  
Chair

Security Council Committee pursuant to  
resolutions 1267 (1999), 1989 (2011) and  
2253 (2015) concerning Islamic State in Iraq  
and the Levant (Da'esh), Al-Qaida  
and associated individuals, groups, undertakings and entities



**Letter dated 8 February 2018 from the Legal Officer supporting the Office of the Ombudsperson addressed to the Chair of the Security Council Committee pursuant to resolutions 1267 (1999), 1989 (2011) and 2253 (2015) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals and entities**

I have the honour to transmit herewith a descriptive update of the activities carried out by the Office of the Ombudsperson in lieu of the report requested by the Security Council in paragraph 20 (c) of annex II to Council resolution 2368 (2017), pursuant to which the Ombudsperson is to submit biannual reports to the Council summarizing her or his activities.

The update describes the activities of the Office of the Ombudsperson in the six months since the issuance of the former Ombudsperson's last report (S/2017/685), and covers the period from 8 August 2017 to 7 February 2018.

I would appreciate it if the present letter and the update were transmitted to the Security Council and issued as a document of the Council.

(Signed) **Natacha Wexels-Riser**  
Legal Officer  
Office of the Ombudsperson

## **Update of the Office of the Ombudsperson pursuant to Security Council resolution 2368 (2017) in lieu of a biannual report**

### **I. Background**

1. The present update covers the activities undertaken by the Office of the Ombudsperson since the issuance of the fourteenth report of the Office (S/2017/685), on 7 August 2017.

#### **Transition**

2. As noted in that report, the Ombudsperson left her position on 7 August 2017. The position remained vacant throughout the reporting period, and no Ombudsperson had been appointed as at 7 February 2018.

3. The Ombudsperson left detailed instructions for the staff members supporting the Office of the Ombudsperson to ensure that the Office would remain operational during the transition period (see annex I). The interim instructions were shared with the Security Council Committee pursuant to resolutions 1267 (1999), 1989 (2011) and 2253 (2015) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals and entities. There was no objection to the Ombudsperson's instructions, and the Office is operating informally until a new Ombudsperson is appointed. This descriptive update covers the activities of the Office undertaken pursuant to those instructions, without discussing any substantive issues.

### **II. Activities related to delisting cases**

#### **General**

4. The primary activities of the Office of the Ombudsperson during the reporting period related to delisting requests submitted by individuals and entities.

#### **Delisting cases**

5. During the reporting period, one new case was submitted to the Office of the Ombudsperson. In accordance with the instructions of the former Ombudsperson, the request was evaluated for its prima facie admissibility, and the Office informally started gathering information on the case. The Office was also contacted by counsel for a listed individual inquiring about the procedures of the Office. It is anticipated that that exchange will result in a delisting request in the next reporting period. The total number of delisting petitions submitted to the Office since its establishment was 80 as at 7 February. Unless the petitioner requests otherwise, all names remain confidential while under consideration and in the case of denial or withdrawal of a petition.

6. In total, the Ombudsperson has submitted 76 comprehensive reports<sup>1</sup> to the Committee since the Office was established. During the reporting period, no reports

<sup>1</sup> This number includes one case concluded in 2011, in which the delisting request was withdrawn by the petitioner after the Ombudsperson had submitted and presented her report to the Committee. It also includes one case concluded in 2013, in which the Committee decided to delist the petitioner after the Ombudsperson had submitted her report to the Committee but before she had presented it to the same. This number does not include two additional cases concluded in 2013, in which the Ombudsperson case became moot following a decision by the Committee to delist the petitioners before the Ombudsperson had submitted her report.

were submitted. However, the former Ombudsperson appeared before the Committee on one occasion to present two cases which she had submitted to the Committee in the previous reporting period.

7. Since the issuance of the fourteenth report, the name of one individual has been retained and the name of one individual has been removed from the sanctions list through the Ombudsperson process.

8. Cumulatively, since the Office was established, 77 cases involving requests made to the Ombudsperson from an individual, an entity or a combination of the two have been resolved through the Ombudsperson process or a separate decision of the Committee. In the 74 cases fully completed through the Ombudsperson process, 57 delisting requests have been granted and 17 have been refused. As a result of the 57 petitions that have been granted, 52 individuals and 28 entities have been delisted and one entity has been removed as an alias of a listed entity. In addition, three individuals were delisted by the Committee before the Ombudsperson process was completed, and one petition was withdrawn following the submission of the comprehensive report. A description of the status of all of the cases, as at 7 February 2018, is contained in annex II to the present report.

9. There are currently two cases pending with the Office of the Ombudsperson. The first is in the information-gathering phase. In the second case, which was received during the reporting period, the Office has informally started to gather information in accordance with the interim instructions of the former Ombudsperson. There are no cases pending before the Committee. The request submitted to the Office during the reporting period was presented by an individual. To date, in total, 72 of the 80 cases have been brought by individuals, 2 by an individual together with 1 or more entities and 6 by entities alone. In 40 of the 80 cases, the petitioner is or was assisted by legal counsel.

#### **Gathering of information from States**

10. In the new case, in which the Office of the Ombudsperson has informally started gathering information, five requests for information have been sent so far, to five States. With respect to the other pending case, the Office has received responses from four States and an international organization. Three of those were preliminary responses, and it is expected that information will be provided to the incoming Ombudsperson.

11. In one of the pending cases, which had already reached the end of the extended information-gathering phase, the Committee decided to extend the information-gathering phase by three months as a transitional measure while awaiting the appointment of a new Ombudsperson. The petitioner did not oppose the initial extensions. However, this case has now been in the information-gathering phase for over 10 months, and the petitioner opposes any further extensions.

#### **Dialogue with the petitioner**

12. During the period under review, none of the pending cases were in the dialogue phase.

#### **Provision of comprehensive reports to interested States**

13. In paragraph 13 of annex II to resolution 2368 (2017), the Security Council stipulates that, if requested, the Ombudsperson may provide a copy of the comprehensive report to an interested State (designating State or State of nationality, residence or incorporation) with the approval of the Committee and any redactions needed to protect confidential material. During the reporting period, the Committee

approved one request for disclosure from a State, which was pending at the time of the previous report.

#### **Access to classified or confidential information**

14. In total, the Office of the Ombudsperson has entered into 19 agreements or arrangements for access to classified information. Of those, 2 agreements have been entered into with Austria and Romania, and 17 arrangements have been made with Australia, Belgium, Canada, Costa Rica, Denmark, Finland, France, Germany, Ireland, Liechtenstein, Luxembourg, the Netherlands, New Zealand, Portugal, Switzerland, the United Kingdom of Great Britain and Northern Ireland and the United States of America.

15. Efforts have continued to expand the list of arrangements and agreements in this reporting period, and it is hoped that further progress will be made after the appointment of the new Ombudsperson. Some of the arrangements will have to be re-entered into by the incoming Ombudsperson as they are nominative. In addition, one State has confirmed that it is willing to enter into an information-sharing agreement, and a draft is currently being finalized by the authorities of that State following engagement by the former Ombudsperson with the permanent mission of the State to the United Nations.

### **III. Summary of activities related to the development of the Office of the Ombudsperson**

#### **General**

16. Activities to further develop and strengthen the Office of the Ombudsperson continued during the reporting period to the extent possible in the absence of an Ombudsperson.

#### **Outreach and publicizing of the Office**

17. On 2 December 2017, the Legal Officer supporting the Office of the Ombudsperson participated in a workshop in Freiburg, Germany, organized by the Max Planck Institute for Foreign and International Criminal Law on the theme "Beyond national sovereignty: new approaches to international and transnational law enforcement". The Legal Officer made a presentation on the human dimension of sanctions, discussing the context of the Islamic State in Iraq and the Levant (Da'esh) and Al-Qaida sanctions regime and its impact on listed individuals, the Ombudsperson process and the importance of the person in the role of the Ombudsperson.

#### **Interaction with the Committee**

18. Since 7 August 2017, the former Ombudsperson has appeared before the Committee once. On 23 October, she presented her report in one case in which the petitioner was retained on the list and in the case of Zayn al-Abidin Muhammad Hussein (delisted; formerly QDi.010).

19. The staff supporting the Office of the Ombudsperson have continued to engage regularly with the Coordinator and members of the Monitoring Team. The Monitoring Team has continued to provide relevant information in accordance with paragraph 4 of annex II to resolution 2368 (2017).

**Liaison with States, intergovernmental organizations, United Nations bodies and non-governmental organizations**

20. The staff supporting the Office of the Ombudsperson continued to interact with States during the reporting period, in particular States of relevance to the pending delisting petitions. The Office has also been approached by a number of States and international organizations inquiring about the status of the appointment of the new Ombudsperson.

**Working methods and research**

21. As had been the case previously, casework during the reporting period involved open-source research in connection with the collection of information relevant to delisting requests.

**Website**

22. The Office of the Ombudsperson has continued to revise and update its website ([www.un.org/sc/suborg/en/ombudsperson](http://www.un.org/sc/suborg/en/ombudsperson)).

**Preparation for the anticipated arrival of the future Ombudsperson**

23. The activities of the Office of the Ombudsperson in the reporting period also revolved around preparing for the arrival of the future Ombudsperson. The purpose of this work was to help the Ombudsperson quickly assimilate the procedures of the Office and the principles expressed by the two previous Ombudspersons in their case-related reports and reports to the Security Council. It is hoped that this material will contribute to minimizing further delays in the treatment of pending cases, safeguard institutional knowledge and ensure continuity in the operations of the Office. It was particularly important to compile these resources because the current Legal Officer supporting the Office of the Ombudsperson plans to depart on prolonged leave before the anticipated arrival of the new Ombudsperson.

## **IV. Observations and conclusions**

**Fairness and transparency of the process**

*Access to petitioners*

24. In her last report to the Security Council, the Ombudsperson described one case in which the petitioner did not have an opportunity to be heard, to know the case and respond to the information as a result of the Ombudsperson's not being granted access to him. The Ombudsperson concluded that the petitioner had not been accorded all the elements of fairness envisaged under resolution 2368 (2017). She indicated that she had raised her concerns in this respect with the Committee and proposed an exceptional measure to mitigate the fact that the petitioner had not had the opportunity to know the case against him. The Committee has since concluded its consideration of the case but rejected the exceptional measure proposed by the former Ombudsperson.

*Reasons letters*

25. In her last report, the Ombudsperson welcomed new language on reasons letters introduced by the Security Council in paragraph 16 of annex II to resolution 2368 (2017), noting that the change had the potential to increase the level of fairness of the review process. She noted in particular that the paragraph was helpful in that it recognized that the summary must accurately describe the principal reasons for the

recommendation of the Ombudsperson, as reflected in his or her analysis. In cases of delisting, it further specifies that the summary must include the key points of the analysis of the Ombudsperson.

26. The Office of the Ombudsperson is pleased to report that three draft summaries of reasons proposed to the Committee during the reporting period pursuant to the new paragraph of annex II to the resolution, which had been prepared in consultation with the former Ombudsperson, were approved by the Committee unchanged. As a result, and as required by the resolution, the petitioners in those three cases received summaries accurately describing the principal reasons for the recommendation of the Ombudsperson, as reflected in her analysis. However, in a fourth case, the summary prepared in consultation with the former Ombudsperson was amended to omit a key point of the analysis of the Ombudsperson, and as a result the summary that was transmitted to the petitioner no longer accurately reflected the principal reasons for the recommendation of the Ombudsperson, as required by the resolution. The reasons put forward in support of the deletion of the key point did not include the necessity to address any security concerns or the inadvertent disclosure of confidential information, which is the only purpose for the Committee's review under resolution 2368 (2017).

#### **Confidentiality**

27. During the reporting period, counsel for the petitioner in a pending case drew the attention of the Office of the Ombudsperson to the fact that the media had obtained a copy of the delisting request presented on behalf of his client and had published passages thereof. The Office of the Ombudsperson shares delisting requests only with the Committee when initiating the information-gathering period and with relevant States when requesting information pertaining to a particular petition. The Office otherwise treats the content and existence of delisting requests as confidential. The Office has taken measures to avoid similar occurrences in the future, including a clear mention in the letter sent to relevant States that delisting requests are confidential and a new practice of watermarking petitions.

#### **Conclusion**

28. The Ombudsperson position has been vacant for six months. It is hoped that a new Ombudsperson will be appointed soon to avoid any further delays in the consideration of pending delisting requests.

## Annex I

## Letter from the Ombudsperson dated 7 August 2017



TO: Ms. Natacha Wexels-Riser, Legal Affairs Officer  
 Ms. Laura Bianchi, Administrative and Research Assistant  
 Office of the Ombudsperson

DATE: 7 August 2017

FROM: Ms. Catherine Marchi-Uhel, Ombudsperson,  
 ISIL (Da'esh) and Al-Qaida Sanctions Committee

SUBJECT: Instructions related to tasks to be performed during the upcoming  
 transition period

1. Today is my last day as Ombudsperson. As you are aware, it is likely that the incoming Ombudsperson may only join the Office within a few months. I enclose the list of tasks which we have developed together during the last few weeks with a view to ensuring a continuous operation of the Office of the Ombudsperson during the transition period. These are the tasks I am asking you to perform until the incoming Ombudsperson takes office. Both the Security Council Affairs Division and the Committee are informed of the same.

2. I take this opportunity to thank both of you for your professional commitment and your dedication in support of the Office. It went well beyond requirements, particularly during the very demanding last few six months and weeks. I feel very blessed to have received such high level support from two great persons and I know that I leave the office in particularly able hands.

Catherine Marchi-Uhel

Ombudsperson

## **Tasks to be completed upon prior instruction of the outgoing Ombudsperson**

- Reasons letters in delisting cases: Obtain translations for and forward Committee's reasons letters to petitioners, as needed
- Reasons letters in retention cases:
  - Prepare and obtain translations as needed for Ombudsperson's letter transmitting the reasons of the Committee (incorporating the Committee's reasons) in retention cases as needed
  - Send courtesy advance copy to the Committee (24 hours)
  - Send translated reasons letter to petitioners after the 24 hours' notice
- States' requests for comprehensive reports:
  - transmit request to the Committee if the State is entitled to a copy of the report under the resolution, otherwise respond to the State accordingly,
  - receive response from the Committee
  - after approval of the Committee, send watermarked and redacted (if necessary) version of the comprehensive report to the requesting State
- Cases 76 and 78:
  - Transmit translations of the comprehensive report to the Committee (triggering the 15- to 30-day period for oral presentation to the Committee)
  - If needed, prepare draft reasons in consultation with the outgoing Ombudsperson and liaise with Chair on content
- Oral presentation by the former Ombudsperson of cases No. 76 and 78 (once approved by the Committee, as noted above): Liaise with Secretariat/Chair with respect to Ms. Marchi-Uhel's oral presentation of the Comprehensive reports in cases no. 76 and 78 (date, logistics etc).
- [REDACTED]
- New requests (to the extent needed, depending on timing):
  - evaluate admissibility of incoming petitions, request additional information from petitioner if needed,
  - informally start the information-gathering by forwarding the request to the Committee and inviting relevant States to provide information.<sup>1</sup>
  - conduct independent research, including contacts with external bodies as needed,
  - Prepare questions for the petitioner and liaise with the incoming Ombudsperson once appointed as to whether he/she would prefer questions being sent in writing to the petitioner in the dialogue phase or to meet in person with the petitioner, in which case, plan trip and liaise with petitioner and State of residence accordingly.

<sup>1</sup> "While the legal officer may attempt to informally collect information relevant to a new petition to assist the future new Ombudsperson in making an admissibility determination, the acceptance of a new petition and the time period for the information gathering phase under Resolution 2368 would not begin, and cooperation with the legal officer in this regard in the absence of a new Ombudsperson would be voluntary."

- Prepare draft factual portions of comprehensive reports, to the extent possible
- New listings: liaise with Secretariat to obtain addresses and send letter informing new listees of the possibility to seek delisting
- Update the website, request translations, send planning table to Secretariat, as needed
- Ombudsperson database: save all documents on the S drive and delete the database
- Hardcopy files: digitize any missing information from the S drive and shred paper files
- Inform the Committee/liaise with the Chair/Secretariat on any other issues as needed
- Inform petitioners and relevant States in pending cases of the appointment of the new Ombudsperson
- Inform States with whom the Ombudsperson has an agreement or arrangement for the sharing of confidential information of the departure of the Ombudsperson, and later of the appointment of the new Ombudsperson seeking renewal where needed (personal agreements)

**Annex II****Status of cases****Case 1, one individual (status: denied)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 28 July 2010     | Transmission of case 1 to the Committee                                       |
| 28 February 2011 | Comprehensive report submitted to the Committee                               |
| 10 May 2011      | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 14 June 2011     | Committee decision                                                            |
| 1 September 2011 | Formal notification to petitioner with reasons                                |

**Case 2, Safet Ekrem Durguti (status: delisted)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 30 September 2010 | Transmission of case 2 to the Committee                                       |
| 26 April 2011     | Comprehensive report submitted to the Committee                               |
| 31 May 2011       | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 14 June 2011      | Committee decision to delist                                                  |
| 12 August 2011    | Formal notification to petitioner with reasons                                |

**Case 3, one entity (status: delisting request withdrawn by petitioner)**

| <i>Date</i>     | <i>Description</i>                                                        |
|-----------------|---------------------------------------------------------------------------|
| 3 November 2010 | Transmission of case 3 to the Committee                                   |
| 14 June 2011    | Comprehensive report submitted to the Committee                           |
| 26 July 2011    | Presentation of comprehensive report by the Ombudsperson to the Committee |
| 2 August 2011   | Withdrawal of petition                                                    |

**Case 4, Shafiq Ben Mohamed Ben Mohammed Al Ayadi (status: delisted)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 6 December 2010 | Transmission of case 4 to the Committee                                       |
| 29 June 2011    | Comprehensive report submitted to the Committee                               |
| 26 July 2011    | Presentation of the comprehensive report by the Ombudsperson to the Committee |

| <i>Date</i>     | <i>Description</i>                             |
|-----------------|------------------------------------------------|
| 17 October 2011 | Committee decision to delist                   |
| 8 November 2011 | Formal notification to petitioner with reasons |

**Case 5, Tarek Ben Al-Bechir Ben Amara Al-Charaabi (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 30 December 2010 | Transmission of case 5 to the Committee                                       |
| 26 April 2011    | Comprehensive report submitted to the Committee                               |
| 31 May 2011      | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 14 June 2011     | Committee decision to delist                                                  |
| 12 August 2011   | Formal notification to petitioner with reasons                                |

**Case 6, Abdul Latif Saleh (status: delisted)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 14 January 2011 | Transmission of case 6 to the Committee                                       |
| 17 June 2011    | Comprehensive report submitted to the Committee                               |
| 26 July 2011    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 19 August 2011  | Committee decision to delist                                                  |
| 8 November 2011 | Formal notification to petitioner with reasons                                |

**Case 7, Abu Sufian Al-Salamabi Muhammed Ahmed Abd Al-Razziq (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 28 January 2011  | Transmission of case 7 to the Committee                                       |
| 29 August 2011   | Comprehensive report submitted to the Committee                               |
| 15 November 2011 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 30 November 2011 | Committee decision to delist                                                  |
| 13 February 2012 | Formal notification to petitioner with reasons                                |

**Case 8, Ahmed Ali Nur Jim'ale and 23 entities<sup>a</sup> (status: delisted)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 17 March 2011     | Transmission of case 8 to the Committee                                       |
| 23 September 2011 | Comprehensive report submitted to the Committee                               |
| 13 December 2011  | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 27 December 2011  | Committee decision to delist 6 entities                                       |
| 17 February 2012  | Committee decision to delist 1 individual and 17 entities                     |
| 8 June 2012       | Formal notification to petitioner with reasons                                |

<sup>a</sup> Barakaat North America, Inc., Barakat Computer Consulting, Barakat Consulting Group, Barakat Global Telephone Company, Barakat Post Express, Barakat Refreshment Company, Al Baraka Exchange, LLC, Barakaat Telecommunications Co. Somalia, Ltd., Barakaat Bank of Somalia, Barako Trading Company, LLC, Al-Barakaat, Al-Barakaat Bank, Al-Barakaat Bank of Somalia, Al-Barakat Finance Group, Al-Barakat Financial Holding Co., Al-Barakat Global Telecommunications, Al-Barakat Group of Companies Somalia Limited, Al-Barakat International, Al-Barakat Investments, Barakaat Group of Companies, Barakaat Red Sea Telecommunications, Barakat International Companies and Barakat Telecommunications Company Limited.

**Case 9, Saad Rashed Mohammed Al-Faqih and Movement for Reform in Arabia (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 19 April 2011    | Transmission of case 9 to the Committee                                       |
| 21 February 2012 | Comprehensive report submitted to the Committee                               |
| 17 April 2012    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 1 July 2012      | Committee decision to delist                                                  |
| 13 November 2012 | Formal notification to petitioner with reasons                                |

**Case 10, Ibrahim Abdul Salam Mohamed Boyasseer (status: delisted)**

| <i>Date</i>    | <i>Description</i>                                                            |
|----------------|-------------------------------------------------------------------------------|
| 6 May 2011     | Transmission of case 10 to the Committee                                      |
| 9 January 2012 | Comprehensive report submitted to the Committee                               |
| 1 March 2012   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 8 May 2012     | Committee decision to delist                                                  |
| 3 August 2012  | Formal notification to petitioner with reasons                                |

**Case 11, Mondher ben Mohsen ben Ali al-Baazaoui (status: delisted)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 1 June 2011     | Transmission of case 11 to the Committee                                      |
| 19 January 2012 | Comprehensive report submitted to the Committee                               |
| 1 March 2012    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 30 March 2012   | Committee decision to delist                                                  |
| 10 July 2012    | Formal notification to petitioner with reasons                                |

**Case 12, Kamal ben Mohamed ben Ahmed Darraji (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 30 June 2011     | Transmission of case 12 to the Committee                                      |
| 28 February 2012 | Comprehensive report submitted to the Committee                               |
| 3 April 2012     | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 4 May 2012       | Committee decision to delist                                                  |
| 3 August 2012    | Formal notification to petitioner with reasons                                |

**Case 13, Fondation Secours Mondial (status: amended<sup>b</sup>)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 7 July 2011      | Transmission of case 13 to the Committee                                      |
| 14 December 2011 | Comprehensive report submitted to the Committee                               |
| 24 January 2012  | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 17 February 2012 | Committee decision to amend                                                   |
| 9 July 2012      | Formal notification to petitioner with reasons                                |

<sup>b</sup> Amended to be removed as an alias of Global Relief Foundation (QE.G.91.02).

**Case 14, Sa'd Abdullah Hussein Al-Sharif (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 20 July 2011     | Transmission of case 14 to the Committee                                      |
| 29 February 2012 | Comprehensive report submitted to the Committee                               |
| 3 April 2012     | Presentation of the comprehensive report by the Ombudsperson to the Committee |

| <i>Date</i>   | <i>Description</i>                             |
|---------------|------------------------------------------------|
| 27 April 2012 | Committee decision to delist                   |
| 3 August 2012 | Formal notification to petitioner with reasons |

**Case 15, Fethi Ben Al-Rebei Absha Mnasri (status: delisted)**

| <i>Date</i>   | <i>Description</i>                                                            |
|---------------|-------------------------------------------------------------------------------|
| 4 August 2011 | Transmission of case 15 to the Committee                                      |
| 9 March 2012  | Comprehensive report submitted to the Committee                               |
| 17 April 2012 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 2 May 2012    | Committee decision to delist                                                  |
| 3 August 2012 | Formal notification to petitioner with reasons                                |

**Case 16, Mounir Ben Habib Ben al-Taher Jarraya (status: delisted)**

| <i>Date</i>    | <i>Description</i>                                                            |
|----------------|-------------------------------------------------------------------------------|
| 15 August 2011 | Transmission of case 16 to the Committee                                      |
| 9 March 2012   | Comprehensive report submitted to the Committee                               |
| 17 April 2012  | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 2 May 2012     | Committee decision to delist                                                  |
| 3 August 2012  | Formal notification to petitioner with reasons                                |

**Case 17, Rachid Fettar (status: delisted)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 26 September 2011 | Transmission of case 17 to the Committee                                      |
| 27 April 2012     | Comprehensive report submitted to the Committee                               |
| 5 June 2012       | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 20 June 2012      | Committee decision to delist                                                  |
| 21 December 2012  | Formal notification to petitioner with reasons                                |

**Case 18, Ali Mohamed El Heit (status: delisted)**

| <i>Date</i>    | <i>Description</i>                              |
|----------------|-------------------------------------------------|
| 5 October 2011 | Transmission of case 18 to the Committee        |
| 2 May 2012     | Comprehensive report submitted to the Committee |

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 3 July 2012      | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 19 July 2012     | Committee decision to delist                                                  |
| 21 December 2012 | Formal notification to petitioner with reasons                                |

**Case 19, Yassin Abdullah Kadi (listed as Yasin Abdullah Ezzedine Qadi) (status: delisted)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 16 November 2011  | Transmission of case 19 to the Committee                                      |
| 11 July 2012      | Comprehensive report submitted to the Committee                               |
| 10 September 2012 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 5 October 2012    | Committee decision to delist                                                  |
| 25 August 2014    | Formal notification to petitioner with reasons                                |

**Case 20, Chabaane ben Mohamed ben Mohamed al-Trabelsi (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 21 November 2011 | Transmission of case 20 to the Committee                                      |
| 23 April 2012    | Comprehensive report submitted to the Committee                               |
| 5 June 2012      | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 20 June 2012     | Committee decision to delist                                                  |
| 21 December 2012 | Formal notification to petitioner with reasons                                |

**Case 21, Adel Abdul Jalil Ibrahim Batterjee (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 3 January 2012   | Transmission of case 21 to the Committee                                      |
| 30 August 2012   | Comprehensive report submitted to the Committee                               |
| 6 November 2012  | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 14 January 2013  | Committee decision to delist                                                  |
| 5 September 2013 | Formal notification to petitioner with reasons                                |

**Case 22, Ibrahim ben Hedhili ben Mohamed al-Hamami (status: delisted)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 6 February 2012   | Transmission of case 22 to the Committee                                      |
| 25 September 2012 | Comprehensive report submitted to the Committee                               |
| 6 November 2012   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 21 November 2012  | Committee decision to delist                                                  |
| 7 February 2013   | Formal notification to petitioner with reasons                                |

**Case 23, Suliman Hamd Suleiman Al-Buthe (status: delisted) (repeated request)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 23 February 2012 | Transmission of case 23 to the Committee                                      |
| 10 October 2012  | Comprehensive report submitted to the Committee                               |
| 27 November 2012 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 10 February 2013 | Committee decision to delist                                                  |
| 30 August 2013   | Formal notification to petitioner with reasons                                |

**Case 24, Mamoun Darkazanli (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 28 February 2012 | Transmission of case 24 to the Committee                                      |
| 12 November 2012 | Comprehensive report submitted to the Committee                               |
| 8 January 2013   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 11 March 2013    | Committee decision to delist                                                  |
| 30 August 2013   | Formal notification to petitioner with reasons                                |

**Case 25, Abdullahi Hussein Kahie (status: delisted)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 28 February 2012  | Transmission of case 25 to the Committee                                      |
| 26 July 2012      | Comprehensive report submitted to the Committee                               |
| 10 September 2012 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 26 September 2012 | Committee decision to delist                                                  |
| 21 December 2012  | Formal notification to petitioner with reasons                                |

**Case 26, Usama Muhammed Awad Bin Laden (status: delisted)**

**Ombudsperson case became moot following the Committee's decision of 21 February 2013**

| <i>Date</i>      | <i>Description</i>                              |
|------------------|-------------------------------------------------|
| 23 April 2012    | Transmission of case 26 to the Committee        |
| 15 February 2013 | Comprehensive report submitted to the Committee |
| 21 February 2013 | Committee decision to delist                    |

**Case 27, one individual (status: denied)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 7 May 2012       | Transmission of case 27 to the Committee                                      |
| 11 February 2013 | Comprehensive report submitted to the Committee                               |
| 7 May 2013       | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 7 May 2013       | Committee decision to retain listing                                          |
| 12 June 2013     | Formal notification to petitioner with reasons                                |

**Case 28, one individual (status: denied)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 7 June 2012      | Transmission of case 28 to the Committee                                      |
| 20 November 2012 | Comprehensive report submitted to the Committee                               |
| 8 January 2013   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 8 January 2013   | Committee decision to retain listing                                          |
| 29 January 2013  | Formal notification to petitioner with reasons                                |

**Case 29, Muhammad 'Abdallah Salih Sughayr (status: delisted)**

| <i>Date</i>    | <i>Description</i>                                                            |
|----------------|-------------------------------------------------------------------------------|
| 25 July 2012   | Transmission of case 29 to the Committee                                      |
| 9 April 2013   | Comprehensive report submitted to the Committee                               |
| 21 May 2013    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 21 July 2013   | Committee decision to delist                                                  |
| 25 August 2014 | Formal notification to petitioner with reasons                                |

**Case 30, Lajnat Al Daawa Al Islamiya (LDI) (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 25 July 2012     | Transmission of case 30 to the Committee                                      |
| 15 April 2013    | Comprehensive report submitted to the Committee                               |
| 2 July 2013      | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 3 September 2013 | Committee decision to delist                                                  |
| 25 August 2014   | Formal notification to petitioner with reasons                                |

**Case 31, Abd al Hamid Sulaiman Muhammed al-Mujil (status: delisted)**

| <i>Date</i>    | <i>Description</i>                                                            |
|----------------|-------------------------------------------------------------------------------|
| 1 August 2012  | Transmission of case 31 to the Committee                                      |
| 13 March 2013  | Comprehensive report submitted to the Committee                               |
| 30 April 2013  | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 30 June 2013   | Committee decision to delist                                                  |
| 25 August 2014 | Formal notification to petitioner with reasons                                |

**Case 32, Mohamed ben Mohamed ben Khalifa Abdelhedi (status: delisted)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 19 September 2012 | Transmission of case 32 to the Committee                                      |
| 5 March 2013      | Comprehensive report submitted to the Committee                               |
| 16 April 2013     | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 1 May 2013        | Committee decision to delist                                                  |
| 25 August 2014    | Formal notification to petitioner with reasons                                |

**Case 33, Mohammed Daki (status: delisted)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 12 October 2012 | Transmission of case 33 to the Committee                                      |
| 28 May 2013     | Comprehensive report submitted to the Committee                               |
| 30 July 2013    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 16 August 2013  | Committee decision to delist                                                  |
| 25 August 2014  | Formal notification to petitioner with reasons                                |

**Case 34, Abdelghani Mzoudi (status: delisted)**

**Ombudsperson case became moot following the Committee's decision of 18 March 2013**

| <i>Date</i>     | <i>Description</i>                       |
|-----------------|------------------------------------------|
| 8 November 2012 | Transmission of case 34 to the Committee |
| 18 March 2013   | Committee decision to delist             |

**Case 35, International Islamic Relief Organization, Philippines, Branch Offices (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 13 December 2012 | Transmission of case 35 to the Committee                                      |
| 5 September 2013 | Comprehensive report submitted to the Committee                               |
| 1 November 2013  | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 3 January 2014   | Committee decision to delist                                                  |
| 25 August 2014   | Formal notification to petitioner with reasons                                |

**Case 36, International Islamic Relief Organization, Indonesia, Branch Offices (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 13 December 2012 | Transmission of case 36 to the Committee                                      |
| 5 September 2013 | Comprehensive report submitted to the Committee                               |
| 1 November 2013  | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 3 January 2014   | Committee decision to delist                                                  |
| 25 August 2014   | Formal notification to petitioner with reasons                                |

**Case 37, Jaber Abdullah Jaber Ahmed al-Jalahmah (status: delisted)<sup>c</sup>**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 4 February 2013  | Transmission of case 37 to the Committee                                      |
| 5 September 2013 | Comprehensive report submitted to the Committee                               |
| 1 November 2013  | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 3 January 2014   | Committee decision to delist                                                  |
| 25 August 2014   | Formal notification to petitioner with reasons                                |

<sup>c</sup> Relisted on 3 January 2014 by a separate Committee decision.

**Case 38, Moustafa Abbas (listed as Moustafa Abbes) (status: delisted)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 13 February 2013  | Transmission of case 38 to the Committee                                      |
| 12 August 2013    | Comprehensive report submitted to the Committee                               |
| 13 September 2013 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 30 September 2013 | Committee decision to delist                                                  |
| 25 August 2014    | Formal notification to petitioner with reasons                                |

**Case 39, Atilla Selek (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 13 February 2013 | Transmission of case 39 to the Committee                                      |
| 2 October 2013   | Comprehensive report submitted to the Committee                               |
| 13 December 2013 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 31 December 2013 | Committee decision to delist                                                  |
| 25 August 2014   | Formal notification to petitioner with reasons                                |

**Case 40, Youssef ben Abdul Baki Ben Youcef Abdaoui (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 4 March 2013     | Transmission of case 40 to the Committee                                      |
| 14 November 2013 | Comprehensive report submitted to the Committee                               |
| 11 February 2014 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 14 April 2014    | Committee decision to delist                                                  |
| 25 August 2014   | Formal notification to petitioner with reasons                                |

**Case 41, L'hadi Bendebka (listed as Abdelhedi Ben Debka) (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 12 March 2013    | Transmission of case 41 to the Committee                                      |
| 14 October 2013  | Comprehensive report submitted to the Committee                               |
| 3 December 2013  | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 18 December 2013 | Committee decision to delist                                                  |
| 25 August 2014   | Formal notification to petitioner with reasons                                |

**Case 42, Youcef Abbas (listed as Youcef Abbes) (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 20 March 2013    | Transmission of case 42 to the Committee                                      |
| 2 October 2013   | Comprehensive report submitted to the Committee                               |
| 15 November 2013 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 3 December 2013  | Committee decision to delist                                                  |
| 25 August 2014   | Formal notification to petitioner with reasons                                |

**Case 43, Said Yousef AbouAziz (listed as Said Youssef Ali Abu Aziza) (status: delisted)**

**Ombudsperson case became moot following the Committee's decision of 26 August 2013**

| <i>Date</i>    | <i>Description</i>                       |
|----------------|------------------------------------------|
| 27 March 2013  | Transmission of case 43 to the Committee |
| 26 August 2013 | Committee decision to delist             |

**Case 44, one individual (status: denied)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 2 May 2013      | Transmission of case 44 to the Committee                                      |
| 4 February 2014 | Comprehensive report submitted to the Committee                               |
| 21 April 2014   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 21 April 2014   | Committee decision to retain listing                                          |
| 30 July 2014    | Formal notification to petitioner with reasons                                |

**Case 45, one individual (status: denied)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 6 May 2013       | Transmission of case 45 to the Committee                                      |
| 9 December 2013  | Comprehensive report submitted to the Committee                               |
| 11 February 2014 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 11 February 2014 | Committee decision to retain listing                                          |
| 17 March 2014    | Formal notification to petitioner with reasons                                |

**Case 46, Ahmed Nacer Yacine (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 10 May 2013      | Transmission of case 46 to the Committee                                      |
| 12 December 2013 | Comprehensive report submitted to the Committee                               |
| 25 February 2014 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 13 March 2014    | Committee decision to delist                                                  |
| 25 August 2014   | Formal notification to petitioner with reasons                                |

**Case 47, Nabil Benatia (listed as Nabil ben Mohamed ben Ali ben Attia) (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 3 June 2013      | Transmission of case 47 to the Committee                                      |
| 12 November 2013 | Comprehensive report submitted to the Committee                               |
| 13 December 2013 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 31 December 2013 | Committee decision to delist                                                  |
| 25 August 2014   | Formal notification to petitioner with reasons                                |

**Case 48, Wael Hamzah Jelaidan (listed as Wa'el Hamza Abd al-Fatah Julaidan) (status: delisted)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 17 June 2013    | Transmission of case 48 to the Committee                                      |
| 19 March 2014   | Comprehensive report submitted to the Committee                               |
| 24 June 2014    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 25 August 2014  | Committee decision to delist                                                  |
| 29 October 2014 | Formal notification to petitioner with reasons                                |

**Case 49, one individual (status: denied)**

| <i>Date</i>  | <i>Description</i>                                                            |
|--------------|-------------------------------------------------------------------------------|
| 24 June 2013 | Transmission of case 49 to the Committee                                      |
| 4 April 2014 | Comprehensive report submitted to the Committee                               |
| 24 June 2014 | Presentation of the comprehensive report by the Ombudsperson to the Committee |

| <i>Date</i>       | <i>Description</i>                             |
|-------------------|------------------------------------------------|
| 24 June 2014      | Committee decision to retain listing           |
| 10 September 2014 | Formal notification to petitioner with reasons |

**Case 50, Al-Haramain Foundation (USA) (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 5 September 2013 | Transmission of case 50 to the Committee                                      |
| 30 June 2014     | Comprehensive report submitted to the Committee                               |
| 26 August 2014   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 25 October 2014  | Committee decision to delist                                                  |
| 29 December 2014 | Formal notification to petitioner with reasons                                |

**Case 51, Aqeel Abdulaziz Aqeel Al-Aqeel (status: delisted)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 28 October 2013 | Transmission of case 51 to the Committee                                      |
| 18 August 2014  | Comprehensive report submitted to the Committee                               |
| 31 October 2014 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 2 January 2015  | Committee decision to delist                                                  |
| 3 March 2015    | Formal notification to petitioner with reasons                                |

**Case 52, one individual (status: denied)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 27 May 2014      | Transmission of case 52 to the Committee                                      |
| 18 February 2015 | Comprehensive report submitted to the Committee                               |
| 14 April 2015    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 14 April 2015    | Committee decision to retain listing                                          |
| 10 June 2015     | Formal notification to petitioner with reasons                                |

**Case 53, Abd al-Rahman Muhammad Jaffar 'Ali (status: delisted)**

| <i>Date</i>     | <i>Description</i>                              |
|-----------------|-------------------------------------------------|
| 13 June 2014    | Transmission of case 53 to the Committee        |
| 9 December 2014 | Comprehensive report submitted to the Committee |

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 29 January 2015 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 31 March 2015   | Committee decision to delist                                                  |
| 12 May 2015     | Formal notification to petitioner with reasons                                |

**Case 54, Abdul Rahim al-Talhi (status: delisted)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 19 June 2014    | Transmission of case 54 to the Committee                                      |
| 29 January 2015 | Comprehensive report submitted to the Committee                               |
| 17 March 2015   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 17 May 2015     | Committee decision to delist                                                  |
| 22 July 2015    | Formal notification to petitioner with reasons                                |

**Case 55, Ismail Mohamed Ismail Abu Shaweesh (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 23 June 2014     | Transmission of case 55 to the Committee                                      |
| 10 November 2014 | Comprehensive report submitted to the Committee                               |
| 16 December 2014 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 2 January 2015   | Committee decision to delist                                                  |
| 17 February 2015 | Formal notification to petitioner with reasons                                |

**Case 56, one individual (status: denied) (repeated request)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 5 September 2014 | Transmission of case 56 to the Committee                                      |
| 21 April 2015    | Comprehensive report submitted to the Committee                               |
| 19 June 2015     | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 19 June 2015     | Committee decision to retain listing                                          |
| 10 July 2015     | Formal notification to petitioner with reasons                                |

**Case 57, one individual (status: denied) (repeated request)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 9 September 2014 | Transmission of case 57 to the Committee                                      |
| 8 June 2015      | Comprehensive report submitted to the Committee                               |
| 27 July 2015     | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 27 July 2015     | Committee decision to retain listing                                          |
| 20 August 2015   | Formal notification to petitioner with reasons                                |

**Case 58, one individual (status: denied)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 10 September 2014 | Transmission of case 58 to the Committee                                      |
| 29 June 2015      | Comprehensive report submitted to the Committee                               |
| 24 August 2015    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 24 August 2015    | Committee decision to retain listing                                          |
| 30 October 2015   | Formal notification to petitioner with reasons                                |

**Case 59, Al Sayyid Ahmed Fathi Hussein L. iwah (status: delisted)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 30 September 2014 | Transmission of case 59 to the Committee                                      |
| 12 May 2015       | Comprehensive report submitted to the Committee                               |
| 19 June 2015      | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 18 August 2015    | Committee decision to delist                                                  |
| 2 September 2015  | Formal notification to petitioner with reasons                                |

**Case 60, Mohammed Ahmed Shawki al Islambolly (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 10 November 2014 | Transmission of case 60 to the Committee                                      |
| 13 July 2015     | Comprehensive report submitted to the Committee                               |
| 24 August 2015   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 26 October 2015  | Committee decision to delist                                                  |
| 27 October 2015  | Formal notification to petitioner with reasons                                |

**Case 61, Yasser Mohamed Ismail Abu Shaweesh (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 19 January 2015  | Transmission of case 61 to the Committee                                      |
| 7 July 2015      | Comprehensive report submitted to the Committee                               |
| 24 August 2015   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 9 September 2015 | Committee decision to delist                                                  |
| 6 November 2015  | Formal notification to petitioner with reasons                                |

**Case 62, Abd al Wahab Abd al Hafiz (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 11 March 2015    | Transmission of case 62 to the Committee                                      |
| 9 November 2015  | Comprehensive report submitted to the Committee                               |
| 23 December 2015 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 11 January 2016  | Committee decision to delist                                                  |
| 22 January 2016  | Formal notification to petitioner with reasons                                |

**Case 63, one individual (status: denied)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 12 March 2015    | Transmission of case 63 to the Committee                                      |
| 10 November 2015 | Comprehensive report submitted to the Committee                               |
| 23 December 2015 | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 23 December 2015 | Committee decision to retain listing                                          |
| 12 January 2016  | Formal notification to petitioner with reasons                                |

**Case 64, one individual (status: denied)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 29 May 2015      | Transmission of case 64 to the Committee                                      |
| 25 February 2016 | Comprehensive report submitted to the Committee                               |
| 20 April 2016    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 20 April 2016    | Committee decision to retain listing                                          |
| 9 June 2016      | Formal notification to petitioner with reasons                                |

**Case 65, Farid Aider (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 27 August 2015   | Transmission of case 65 to the Committee                                      |
| 26 February 2016 | Comprehensive report submitted to the Committee                               |
| 20 April 2016    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 20 June 2016     | Committee decision to delist                                                  |
| 20 June 2016     | Formal notification to petitioner with reasons                                |

**Case 66, one individual (status: denied)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 15 October 2015   | Transmission of case 66 to the Committee                                      |
| 15 June 2016      | Comprehensive report submitted to the Committee                               |
| 8 August 2016     | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 8 August 2016     | Committee decision to retain listing                                          |
| 23 September 2016 | Formal notification to petitioner with reasons                                |

**Case 67, Daniel Martin Schneider (status: delisted)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 3 February 2016 | Transmission of case 67 to the Committee                                      |
| 29 April 2016   | Comprehensive report submitted to the Committee                               |
| 17 June 2016    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 5 July 2016     | Committee decision to delist                                                  |
| 22 August 2016  | Formal notification to petitioner with reasons                                |

**Case 68, one individual (status: denied)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 22 March 2016    | Transmission of case 68 to the Committee                                      |
| 23 November 2016 | Comprehensive report submitted to the Committee                               |
| 3 February 2017  | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 3 February 2017  | Committee decision to retain listing                                          |
| 5 April 2017     | Formal notification to petitioner with reasons                                |

**Case 69, Ata Abdoulaziz Rashid (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 8 June 2016      | Transmission of case 69 to the Committee                                      |
| 30 December 2016 | Comprehensive report submitted to the Committee                               |
| 1 March 2017     | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 1 May 2017       | Committee decision to delist                                                  |
| 19 May 2017      | Formal notification to petitioner with reasons                                |

**Case 70, Fahd Muhammad Abd al-Aziz al-Khashiban (status: delisted)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 12 July 2016    | Transmission of case 70 to the Committee                                      |
| 16 January 2017 | Comprehensive report submitted to the Committee                               |
| 1 March 2017    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 16 March 2017   | Committee decision to delist                                                  |
| 27 March 2017   | Formal notification to petitioner with reasons                                |

**Case 71, Othman Deramchi (status: delisted)**

| <i>Date</i>   | <i>Description</i>                                                            |
|---------------|-------------------------------------------------------------------------------|
| 3 August 2016 | Transmission of case 71 to the Committee                                      |
| 6 April 2017  | Comprehensive report submitted to the Committee                               |
| 19 May 2017   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 20 July 2017  | Committee decision to delist                                                  |
| 1 August 2017 | Formal notification to petitioner with reasons                                |

**Case 72, Dieman Abdulkadir Izzat (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 9 September 2016 | Transmission of case 72 to the Committee                                      |
| 27 February 2017 | Comprehensive report submitted to the Committee                               |
| 11 April 2017    | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 28 April 2017    | Committee decision to delist                                                  |
| 9 May 2017       | Formal notification to petitioner with reasons                                |

**Case 73, one individual (status: denied)**

| <i>Date</i>       | <i>Description</i>                                                            |
|-------------------|-------------------------------------------------------------------------------|
| 23 September 2016 | Transmission of case 73 to the Committee                                      |
| 11 May 2017       | Comprehensive report submitted to the Committee                               |
| 13 July 2017      | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 13 July 2017      | Committee decision to retain listing                                          |
| 26 July 2017      | Formal notification to petitioner with reasons                                |

**Case 74, Fritz Martin Gelowicz (status: delisted)**

| <i>Date</i>     | <i>Description</i>                                                            |
|-----------------|-------------------------------------------------------------------------------|
| 17 October 2016 | Transmission of case 72 to the Committee                                      |
| 7 March 2017    | Comprehensive report submitted to the Committee                               |
| 11 April 2017   | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 28 April 2017   | Committee decision to delist                                                  |
| 9 May 2017      | Formal notification to petitioner with reasons                                |

**Case 75, one individual (status: denied)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 17 November 2016 | Transmission of case 75 to the Committee                                      |
| 17 May 2017      | Comprehensive report submitted to the Committee                               |
| 13 July 2017     | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 13 July 2017     | Committee decision to retain listing                                          |
| 26 July 2017     | Formal notification to petitioner with reasons                                |

**Case 76, one individual (status: denied)**

| <i>Date</i>      | <i>Description</i>                                                                   |
|------------------|--------------------------------------------------------------------------------------|
| 22 November 2016 | Transmission of case 76 to the Committee                                             |
| 19 July 2017     | Comprehensive report submitted to the Committee                                      |
| 23 October 2017  | Presentation of the comprehensive report by the former Ombudsperson to the Committee |
| 23 October 2017  | Committee decision to retain listing                                                 |

| <i>Date</i>     | <i>Description</i>                            |
|-----------------|-----------------------------------------------|
| 26 October 2017 | Formal notification of decision to petitioner |
| 8 November 2017 | Notification of reasons to petitioner         |

**Case 77, Adil Muhammad Mahmud Abd al-Khaliq (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                            |
|------------------|-------------------------------------------------------------------------------|
| 28 November 2016 | Transmission of case 77 to the Committee                                      |
| 24 May 2017      | Comprehensive report submitted to the Committee                               |
| 13 July 2017     | Presentation of the comprehensive report by the Ombudsperson to the Committee |
| 28 July 2017     | Committee decision to delist                                                  |
| 28 July 2017     | Formal notification of decision to petitioner                                 |
| 7 August 2017    | Notification of reasons to petitioner                                         |

**Case 78, Zayn al-Abidin Muhammad Hussein (status: delisted)**

| <i>Date</i>      | <i>Description</i>                                                                   |
|------------------|--------------------------------------------------------------------------------------|
| 7 December 2016  | Transmission of case 78 to the Committee                                             |
| 7 August 2017    | Comprehensive report submitted to the Committee                                      |
| 23 October 2017  | Presentation of the comprehensive report by the former Ombudsperson to the Committee |
| 26 December 2017 | Committee decision to delist                                                         |
| 26 December 2017 | Formal notification of decision to petitioner                                        |
| 24 January 2018  | Notification of reasons to petitioner                                                |

**Case 79, one individual (status: information-gathering phase)**

| <i>Date</i>      | <i>Description</i>                                                                                                                                                                                                                     |
|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 27 March 2017    | Transmission of case 79 to the Committee                                                                                                                                                                                               |
| 27 February 2018 | Deadline for completion of the extended information-gathering phase (additional extension of the information-gathering phase approved by the Committee as a transitional measure while awaiting the appointment of a new Ombudsperson) |

**Case 80, one individual (status: Informal Information-gathering phase)**

| <i>Date</i>      | <i>Description</i>                                                                                                                                                                                                  |
|------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 11 December 2017 | Transmission of case 80 to the Committee<br><br>Information-gathering informally started by the Office of the Ombudsperson pending formal decision on admissibility by the incoming Ombudsperson upon taking office |



## Security Council

Distr.: General  
14 February 2018  
English  
Original: Russian

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### **Letter dated 14 February 2018 from the Permanent Representative of the Russian Federation to the United Nations addressed to the Secretary-General and the President of the Security Council**

I have the honour to transmit herewith the final statement of the Congress of the Syrian national dialogue, held in Sochi, Russian Federation, on 30 January 2018 (see annex).

I should be grateful if you would have the present letter and its annex circulated as a document of the Security Council.

*(Signed)* V. Nebenzia



**Annex to the letter dated 14 February 2018 from the Permanent Representative of the Russian Federation to the United Nations addressed to the Secretary-General and the President of the Security Council**

[Original: Arabic, English and Russian]

## **Final statement of the Congress of the Syrian national dialogue, Sochi, January 30, 2018**

We, the delegates of the Congress of the Syrian national dialogue, representing all segments of Syrian society, its political and civil powers, ethnic, confessional and social groups, have assembled at the invitation of the friendly Russian Federation, in the city of Sochi, with the intention to put an end to seven years suffering of our people, through the achievement of a common understanding of the necessity for the salvation of our homeland from armed confrontation, social and economic ruin, the restoration of its dignity on the regional and world stage, the provision of fundamental rights and liberties for all its citizens, and most importantly, the right to a peaceful and free life without violence and terror. The only way to achieve this particular goal is the political settlement of the problems of our homeland on the basis of the following principles:

1. Respect of and full commitment to the sovereignty, independence, territorial integrity and unity of the [Syrian Arab Republic / state of Syria] as a land and a people. In this regard no part of the national territory shall be ceded. The people of Syria remain committed to the recovery of the occupied Syrian Golan by all lawful means in accordance with the UN Charter and international law;
2. Respect of and full commitment to Syria's national sovereign equality and rights regarding non- intervention. Syria shall take its full role in the international community and the region, including as part of the Arab world, in conformity with the UN Charter, and its purposes and principles;
3. The Syrian people alone shall determine the future of their country by democratic means, through the ballot box, and shall have the exclusive right to choose their own political, economic and social system without external pressure or interference, in line with Syria's international rights and obligations;

4. The [Syrian Arab Republic / state of Syria] shall be a democratic and non-sectarian state based on political pluralism and equal citizenship irrespective of religion, ethnicity and gender, with full respect for and protection of the rule of law, the separation of powers, judicial independence, the full equality of all citizens, the cultural diversity of the Syrian society, and public freedoms, including freedom of belief, featuring transparent, inclusive, accountable and answerable governance, including before the national law, with necessary effective measures to combat crime, corruption and mismanagement;
5. A state committed to national unity, social peace, and comprehensive and balanced development with fair representation in local administration;
6. Continuity and improved performance of state and public institutions, with reforms where necessary, including the protection of public infrastructure and property rights and provision of public services to all citizens without discrimination, in accordance with the highest standards of good governance and gender equality. Citizens shall benefit from effective mechanisms in their relations with all public authorities in such a way as to ensure full compliance with the rule of law and human rights and private and public property rights;
7. A strong, unified, meritocratic and national army that carries out its duties in accordance with the constitution and the highest standards. Its functions are to protect the national boundaries and the people from external threats and terrorism, with intelligence and security institutions to maintain national security subject to the rule of law, acting according to the constitution and the law and respecting human rights. The use of force shall be the exclusive prerogative of competent state institutions;
8. Unqualified rejection of - and active commitment to combat - terrorism, fanaticism, extremism and sectarianism in all its forms and to tackle conditions conducive to their spread;
9. Respect and protection of human rights and public freedoms, especially in times of crisis, including non-discrimination and equal rights and opportunities for all without regard to race, religion, ethnicity, cultural or linguistic identity, gender or any other distinction, with effective mechanism for their protection, which give due regard to the political and equal rights and opportunities of women, including by the taking of active measures to ensure representation and participation in institutions and decision-making structures, with mechanisms aimed to achieve a level of representation of at least 30% for women, and the goal of parity;

10. A high value placed on Syria's society and national identity, its history of diversity and the contributions and values that all religions, civilizations and traditions have brought to Syria, including the coexistence among its various components, along with the protection of the national cultural heritage of the nation and its diverse cultures;

11. Combatting and eliminating poverty and providing support for the elderly and other vulnerable groups, including persons with special needs, orphans and victims of war, which shall include ensuring the safety and refuge of all displaced persons and refugees, as well as protecting their right to voluntary and safe return to their homes and lands;

12. Preservation and protection of national heritage and the natural environment for future generations in accordance with environmental treaties and the UNESCO declaration concerning the intentional destruction of cultural heritage.

We, the representatives of the proud people of Syria, having lived through terrible misery and having found enough strength to fight against international terrorism, herewith declare our determination to restore the well-being and prosperity of our homeland and to make life decent and comfortable for each and every one of us.

To that end we agreed to form a constitutional committee comprising the Government of the Syrian Arab Republic delegation along with wide-represented opposition delegation for drafting of a constitutional reform as a contribution to the political settlement under the UN auspices in accordance with Security Council Resolution 2254.

The Constitutional Committee would at the very least comprise Government, Opposition representatives in the intra-Syrian talks, Syrian experts, civil society, independents, tribal leaders and women. Care would be taken to ensure adequate representation of Syria's ethnic and religious components. Final agreement is to be reached in the UN-led Geneva process on the mandate and terms of reference, powers, rules of procedure, and selection criteria for the composition of the Constitutional Committee.

We appeal to the United Nations Secretary-General to assign the Special Envoy for Syria for the assistance of the Constitutional Committee work in Geneva.

Sochi, January 30, 2018

**General Assembly  
Security Council**

Distr.: General  
19 February 2018

Original: English

**General Assembly  
Seventy-second session  
Agenda item 34  
Prevention of armed conflict**

**Security Council  
Seventy-third year**

**Letter dated 13 February 2018 from the Permanent Representative  
of Armenia to the United Nations addressed to the Secretary-General**

Upon instruction from my Government, I transmit herewith for your attention the record of the ceasefire violations by the Azerbaijani armed forces along the State border of the Republic of Armenia during the month of January 2018 (see annex I).<sup>1</sup>

I also transmit herewith for your attention the record of the ceasefire violations registered by the Ministry of Defence of the Republic of Artsakh (Nagorno-Karabakh Republic) during the month of January 2018 (see annex II).<sup>1</sup>

I kindly request that the present letter and its annexes be circulated as a document of the General Assembly, under agenda item 34, and of the Security Council.

(Signed) Zohrab **Mnatsakanyan**  
Ambassador  
Permanent Representative

<sup>1</sup> The annexes are being circulated in the language of submission only.



**Annex I to the letter dated 13 February 2018 from the Permanent Representative of Armenia to the United Nations addressed to the Secretary-General**

**Violations of the ceasefire by the armed forces of the Republic of Azerbaijan along the State border with the Republic of Armenia, 1–31 January 2018**

| <i>Date</i> | <i>Direction</i>                      | <i>Incidents</i> | <i>Type of weapons/shots</i>      |
|-------------|---------------------------------------|------------------|-----------------------------------|
| 1 January   | <b>Tavush and Gegharkunik regions</b> | <b>15</b>        | Small arms and light weapons: 662 |
|             | Aygedzor                              | 2                | Large-calibre machine guns: 19    |
|             | Aygepar                               | 2                | Grenade launchers: 2              |
|             | Berkaber                              | 1                | Small-calibre mortars: 5 (Jil)    |
|             | Koti                                  | 1                |                                   |
|             | Baghanis                              | 2                |                                   |
|             | Barekamavan                           | 1                |                                   |
|             | Jil                                   | 5                |                                   |
|             | Vahan                                 | 1                |                                   |
| 2 January   | <b>Tavush and Gegharkunik regions</b> | <b>4</b>         | Small arms and light weapons: 305 |
|             | Paravakar                             | 1                |                                   |
|             | Jil                                   | 3                |                                   |
| 3 January   | <b>Tavush and Gegharkunik regions</b> | <b>3</b>         | Small arms and light weapons: 355 |
|             | Kayan                                 | 1                |                                   |
|             | Jil                                   | 2                |                                   |
| 4 January   | <b>Tavush Region</b>                  | <b>2</b>         | Small arms and light weapons: 17  |
|             | Chinari                               | 1                |                                   |
|             | Aygepar                               | 1                |                                   |
| 5 January   | <b>Tavush region</b>                  | <b>2</b>         | Small arms and light weapons: 9   |
|             | Chinari                               | 1                |                                   |
|             | Kayan                                 | 1                |                                   |
| 6 January   | <b>Tavush region</b>                  | <b>1</b>         | Small arms and light weapons: 6   |
|             | Kayan                                 | 1                |                                   |
| 7 January   | There were no shots                   |                  |                                   |
| 8 January   | There were no shots                   |                  |                                   |
| 9 January   | <b>Tavush region</b>                  | <b>3</b>         | Small arms and light weapons: 12  |
|             | Aygedzor                              | 1                | Small-calibre sniper weapons: 4   |
|             | Kayan                                 | 2                |                                   |
| 10 January  | <b>Tavush region</b>                  | <b>1</b>         | Small arms and light weapons: 9   |
|             | Aygepar                               | 1                |                                   |
| 11 January  | <b>Ararat region</b>                  | <b>3</b>         | Small arms and light weapons: 3   |
|             | Yeraskh                               | 3                | Small-calibre sniper weapons: 5   |
| 12 January  | <b>Gegharkunik region</b>             | <b>1</b>         | Small arms and light weapons: 5   |
|             | Jil                                   | 1                |                                   |
| 13 January  | <b>Tavush region</b>                  | <b>1</b>         | Small arms and light weapons: 5   |
|             | Aygepar                               | 1                |                                   |

| <i>Date</i> | <i>Direction</i>                      | <i>Incidents</i> | <i>Type of weapons/shots</i>             |
|-------------|---------------------------------------|------------------|------------------------------------------|
| 14 January  | <b>Tavush and Gegharkunik regions</b> | 2                | Small arms and light weapons: 25         |
|             | Chinari                               | 1                |                                          |
|             | Vahan                                 | 1                |                                          |
| 15 January  | <b>Tavush region</b>                  | 1                | Small arms and light weapons: 10         |
|             | Chinari                               | 1                |                                          |
| 16 January  | <b>Tavush region</b>                  | 2                | Small arms and light weapons: 44         |
|             | Aygehovit                             | 1                |                                          |
|             | Vazashen                              | 1                |                                          |
| 17 January  | <b>Tavush and Ararat regions</b>      | 4                | Small arms and light weapons: 31         |
|             | Paravakar                             | 1                |                                          |
|             | Vazashen                              | 2                |                                          |
|             | Yeraskh                               | 2                |                                          |
| 18 January  | <b>Tavush and Ararat regions</b>      | 4                | Small arms and light weapons: 14         |
|             | Chinari                               | 1                | Small-calibre sniper weapons: 1          |
|             | Sev Kar                               | 1                |                                          |
|             | Barekamavan                           | 1                |                                          |
|             | Yeraskh                               | 1                |                                          |
| 19 January  | <b>Tavush region</b>                  | 5                | Small arms and light weapons: 31         |
|             | Aygedzor                              | 1                | Small-calibre sniper weapons: 7          |
|             | Chinari                               | 1                |                                          |
|             | Paravakar                             | 1                |                                          |
|             | Aygepar                               | 1                |                                          |
|             | Vazashen                              | 1                |                                          |
| 20 January  | <b>Tavush region</b>                  | 5                | Small arms and light weapons: 88         |
|             | Chinari                               | 3                | Small-calibre sniper weapons: 30         |
|             | Paravakar                             | 1                |                                          |
|             | Berdavan                              | 1                |                                          |
| 21 January  | <b>Tavush region</b>                  | 3                | Small arms and light weapons: 110        |
|             | Chinari                               | 1                | Small-calibre sniper weapons: 8          |
|             | Paravakar                             | 1                |                                          |
|             | Barekamavan                           | 1                |                                          |
| 22 January  | <b>Tavush region</b>                  | 9                | Small arms and light weapons: 56         |
|             | Aygedzor                              | 4                | Small-calibre sniper weapons: 7          |
|             | Chinari                               | 2                | Large-calibre sniper weapons: 3 (Movses) |
|             | Paravakar                             | 1                | Large-calibre machine guns: 20           |
|             | Movses                                | 2                |                                          |
| 23 January  | <b>Tavush and Gegharkunik regions</b> | 5                | Small arms and light weapons: 176        |
|             | Chinari                               | 1                | Small-calibre sniper weapons: 4          |
|             | Sevkar                                | 1                |                                          |
|             | Barekamavan                           | 1                |                                          |
|             | Baghanis                              | 1                |                                          |
|             | Vahan                                 | 1                |                                          |

| <i>Date</i> | <i>Direction</i>                      | <i>Incidents</i> | <i>Type of weapons/shots</i>             |
|-------------|---------------------------------------|------------------|------------------------------------------|
| 24 January  | <b>Tavush region</b>                  | <b>1</b>         | Small arms and light weapons: 2          |
|             | Chinari                               | 1                |                                          |
| 25 January  | <b>Tavush and Gegharkunik regions</b> | <b>7</b>         | Small arms and light weapons: 66         |
|             | Chinari                               | 2                | Small-calibre sniper weapons: 1          |
|             | Berkaber                              | 2                |                                          |
|             | Baghanis                              | 2                |                                          |
|             | Jil                                   | 1                |                                          |
| 26 January  | <b>Tavush and Gegharkunik regions</b> | <b>9</b>         | Small arms and light weapons: 119        |
|             | Aygedzor                              | 2                | Large-calibre sniper weapons: 5 (Jil)    |
|             | Paravakar                             | 1                |                                          |
|             | Movses                                | 1                |                                          |
|             | Vazashen                              | 2                |                                          |
|             | Baghanis                              | 1                |                                          |
|             | Kolagir                               | 1                |                                          |
|             | Jil                                   | 1                |                                          |
| 27 January  | <b>Tavush and Gegharkunik regions</b> | <b>6</b>         | Small arms and light weapons: 62         |
|             | Aygepar                               | 3                |                                          |
|             | Voskevan                              | 1                |                                          |
|             | Berkaber                              | 1                |                                          |
|             | Kayan                                 | 1                |                                          |
| 28 January  | <b>Tavush region</b>                  | <b>6</b>         | Small arms and light weapons: 42         |
|             | Berkaber                              | 2                |                                          |
|             | Kayan                                 | 1                |                                          |
|             | Sevkar                                | 1                |                                          |
|             | Baghanis                              | 2                |                                          |
| 29 January  | <b>Tavush region</b>                  | <b>5</b>         | Small arms and light weapons: 17         |
|             | Aygedzor                              | 1                |                                          |
|             | Kayan                                 | 2                |                                          |
|             | Kirants                               | 1                |                                          |
|             | Sarigyugh                             | 1                |                                          |
| 30 January  | <b>Tavush and Syunik regions</b>      | <b>6</b>         | Small arms and light weapons: 225        |
|             | Aygedzor                              | 2                | Large-calibre sniper weapons: 2 (Chapni) |
|             | Berkaber                              | 1                |                                          |
|             | Kayan                                 | 1                |                                          |
|             | Koti                                  | 1                |                                          |
|             | Chapni                                | 1                |                                          |
| 31 January  | <b>Tavush region</b>                  | <b>3</b>         | Small arms and light weapons: 5          |
|             | Aygedzor                              | 1                | Large-calibre machine guns: 20           |
|             | Vazashen                              | 1                |                                          |
|             | Berkaber                              | 1                |                                          |

**Annex II to the letter dated 13 February 2018 from the Permanent Representative of Armenia to the United Nations addressed to the Secretary-General**

**Violations of the ceasefire by the armed forces of the Republic of Azerbaijan on the line of contact with the Republic of Artsakh (Nagorno-Karabakh Republic), 1–31 January 2018**

| <i>Date</i> | <i>Direction</i>                                                          | <i>Incidents</i> | <i>Type of weapons/shots</i>                                                                                                                                                          |
|-------------|---------------------------------------------------------------------------|------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 January   | On the line of contact between the armed forces of Artsakh and Azerbaijan | 20               | Small arms and light weapons: 520<br>Small-calibre sniper weapons: 13<br>Large-calibre sniper weapons: 3<br>(Shikhiyar: 1; Verin Chaylu: 2)<br>Large-calibre machine guns: 50         |
| 2 January   | On the line of contact between the armed forces of Artsakh and Azerbaijan | 11               | Small arms and light weapons: 206                                                                                                                                                     |
| 3 January   | On the line of contact between the armed forces of Artsakh and Azerbaijan | 18               | Small arms and light weapons: 184                                                                                                                                                     |
| 4 January   | On the line of contact between the armed forces of Artsakh and Azerbaijan | 13               | Small arms and light weapons: 111<br>Small-calibre sniper weapons: 26<br>Large-calibre sniper weapons: 26<br>(Levonarkh: 3; Gjulistan: 23)                                            |
| 5 January   | On the line of contact between the armed forces of Artsakh and Azerbaijan | 11               | Small arms and light weapons: 85<br>Small-calibre sniper weapons: 26<br>Large-calibre sniper weapons: 1<br>(Shikhiyar)<br>Large-calibre machine guns: 10                              |
| 6 January   | On the line of contact between the armed forces of Artsakh and Azerbaijan | 40               | Small arms and light weapons: 400<br>Small-calibre sniper weapons: 14<br>Large-calibre sniper weapons: 36<br>(Mehdili: 1; Shikhiyar: 30; Yarmja: 5)<br>Large-calibre machine guns: 12 |
| 7 January   | On the line of contact between the armed forces of Artsakh and Azerbaijan | 28               | Small arms and light weapons: 346<br>Small-calibre sniper weapons: 5<br>Large-calibre sniper weapons: 3 (Talış)                                                                       |
| 8 January   | On the line of contact between the armed forces of Artsakh and Azerbaijan | 44               | Small arms and light weapons: 538<br>Small-calibre sniper weapons: 46<br>Large-calibre sniper weapons: 15<br>(Seysulan: 14; Namerli: 1)                                               |
| 9 January   | On the line of contact between the armed forces of Artsakh and Azerbaijan | 17               | Small arms and light weapons: 207<br>Small-calibre sniper weapons: 5                                                                                                                  |
| 10 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 17               | Small arms and light weapons: 166<br>Large-calibre sniper weapons: 5<br>(Gjulistan)<br>Large-calibre machine guns: 4                                                                  |
| 11 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 26               | Small arms and light weapons: 336<br>Small-calibre sniper weapons: 7<br>Large-calibre sniper weapons: 4<br>(Seysulan)                                                                 |

| <i>Date</i> | <i>Direction</i>                                                          | <i>Incidents</i> | <i>Type of weapons/shots</i>                                                                                                                                                                |
|-------------|---------------------------------------------------------------------------|------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 12 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 26               | Small arms and light weapons: 276<br>Small-calibre sniper weapons: 25<br>Large-calibre sniper weapons: 1 (Seysulan)                                                                         |
| 13 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 21               | Small arms and light weapons: 387<br>Small-calibre sniper weapons: 11<br>Large-calibre sniper weapons: 3 (Horadiz: 1; Yarmja: 2)<br>Large-calibre machine guns: 15                          |
| 14 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 27               | Small arms and light weapons: 169<br>Small-calibre sniper weapons: 12<br>Large-calibre sniper weapons: 1 (Gjulistan)                                                                        |
| 15 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 29               | Small arms and light weapons: 271<br>Small-calibre sniper weapons: 2<br>Grenade launchers: 1                                                                                                |
| 16 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 12               | Small arms and light weapons: 111<br>Small-calibre sniper weapons: 4                                                                                                                        |
| 17 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 20               | Small arms and light weapons: 667<br>Small-calibre sniper weapons: 7                                                                                                                        |
| 18 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 13               | Small arms and light weapons: 72<br>Small-calibre sniper weapons: 1<br>Large-calibre sniper weapons: 1 (Bash Karvend)                                                                       |
| 19 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 25               | Small arms and light weapons: 241<br>Small-calibre sniper weapons: 5<br>Large-calibre sniper weapons: 3 (Ashaghi Veysali: 1; Seysulan: 1; Dastagir: 1)<br>Large-calibre machine guns: 30    |
| 20 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 9                | Small arms and light weapons: 157<br>Large-calibre sniper weapons: 8 (Marzili: 3; Shikhlyar: 5)                                                                                             |
| 21 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 28               | Small arms and light weapons: 198<br>Small-calibre sniper weapons: 13<br>Large-calibre sniper weapons: 10 (Talish)<br>Large-calibre machine guns: 12<br>Small-calibre mortars: 1 (Seysulan) |
| 22 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 25               | Small arms and light weapons: 200<br>Small-calibre sniper weapons: 4<br>Large-calibre sniper weapons: 1 (Marzili)                                                                           |
| 23 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 21               | Small arms and light weapons: 247<br>Small-calibre sniper weapons: 3<br>Large-calibre sniper weapons: 11 (Ashaghi Seydakhmedli)<br>Large-calibre machine guns: 11                           |
| 24 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 23               | Small arms and light weapons: 143<br>Small-calibre sniper weapons: 14                                                                                                                       |

| <i>Date</i> | <i>Direction</i>                                                          | <i>Incidents</i> | <i>Type of weapons/shots</i>                                                                                                               |
|-------------|---------------------------------------------------------------------------|------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| 25 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 35               | Small arms and light weapons: 312<br>Small-calibre sniper weapons: 5<br>Large-calibre sniper weapons: 18<br>(Mehdili: 16; Verin Chaylu: 2) |
| 26 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 27               | Small arms and light weapons: 353<br>Small-calibre sniper weapons: 6                                                                       |
| 27 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 20               | Small arms and light weapons: 249<br>Small-calibre sniper weapons: 9                                                                       |
| 28 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 19               | Small arms and light weapons: 22<br>Small-calibre sniper weapons: 2<br>Large-calibre sniper weapons: 1<br>(Shikhlyar)                      |
| 29 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 13               | Small arms and light weapons: 189<br>Small caliber sniper weapons: 26                                                                      |
| 30 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 7                | Small arms and light weapons: 73                                                                                                           |
| 31 January  | On the line of contact between the armed forces of Artsakh and Azerbaijan | 16               | Small arms and light weapons: 82<br>Small-calibre sniper weapons: 14<br>Large-calibre sniper weapons: 3<br>(Yusifjanli)                    |

During the reporting period, one soldier of the Defence Army of Artsakh was killed and four soldiers were wounded by fire from the Azerbaijani side.

**Security Council**

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Original: English

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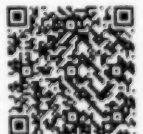
**Letter dated 15 February 2018 from the Permanent Representative of the Islamic Republic of Iran to the United Nations addressed to the Secretary-General and the President of the Security Council**

Upon instructions from my Government and with reference to the letters dated 5 January 2018 (S/2018/19), 19 January 2018 (S/2018/52), 22 January 2018 (S/2018/55) and 5 February 2018 (S/2018/93) from the Permanent Representative of the Kingdom of Saudi Arabia to the United Nations regarding missile launches during Saudi military operations against Yemen, I have the honour to draw your attention to the following:

1. The Islamic Republic of Iran categorically rejects and condemns the baseless accusations contained in those letters. My Government reiterates that it does not transfer to or manufacture in Yemen any arms or military equipment. We consider the accusations levelled by the Saudis to be destructive and provocatively aimed at distracting Member States and public opinion from the Saudi military atrocities in Yemen, wherein massive criminal acts have occurred against the oppressed people of Yemen.

2. While violating Security Council resolution 2216 (2015), the Saudi Arabian regime is misusing its provisions and the inactive attitude of the international community to continue its brutal and unlawful aggression in Yemen. At the same time, the imposed air, sea and land blockade, as well as massive, indiscriminate aerial bombings, have devastated the lives of millions of people, destroyed basic public infrastructure and facilities, led to displacement and inflicted disease and famine. These criminal acts are tantamount to war crimes and crimes against humanity.

3. Over nearly three years, the foreign military intervention in Yemen by the Saudi-led coalition has exacerbated the humanitarian situation in that already poor country, leading to tens of thousands of deaths and injuries, millions of people suffering from disease and a large portion of the population displaced. Further, the inhumane blockade or the strict restrictions imposed continue to spread malnutrition, hunger and famine. The Saudi criminal adventure in Yemen lies at the origin of this human catastrophe, for which the Saudi leadership should be held accountable. The provision of massive humanitarian assistance to Yemen is imperative and urgent. This, coupled with an immediate ceasefire, is what the international community should occupy itself with, not baseless Saudi claims. Under these circumstances, the Saudi letter of 23 January 2018 (S/2018/62), in which the perpetrators of the crimes against humanity in Yemen talk of humanitarian assistance to the Yemenis, is preposterous.



4. The aggressive posture displayed by the Saudis in the region is not oriented only towards Yemen. The military interference in the neighbouring country to suppress peaceful protests, the provision of financial and military support as well as the ideological backing of terrorist and extremist groups in the region, destabilizing other countries for political gain, and the imposition of the air, sea and land blockade against another neighbour are just a few examples of the criminal and aggressive acts perpetrated by the Saudi Arabian regime — acts that flout international law, international humanitarian law and the provisions of the Charter of the United Nations.

5. To facilitate its hostile and aggressive policy, the Saudi Arabian regime has made extensive efforts to abuse regional and international arrangements and organizations, especially the Organization of Islamic Cooperation (OIC) and the United Nations. The fifteenth Extraordinary Meeting of the Council of Foreign Ministers of OIC, which was convened in Jeddah on 21 January 2018 without observing the rules and the charter of the organization and with a lack of the required consensus or presence of all members, is another example of the charades by the Saudis aimed at manipulating OIC mechanisms for their short-sighted political agenda. The Islamic Republic of Iran rejected and condemned the final statement of the said OIC meeting, which surely does not reflect the position of members of the organization. We warn against such abuse by the Saudis of regional and international organizations as well as their manipulation towards employing those organizations in the service of their narrow agenda.

6. In view of the grave situation in Yemen, the Islamic Republic of Iran urges the United Nations system and the whole international community to take immediate measures to stop the criminally inhumane acts of the Saudi-led coalition against Yemen and bring those responsible for the plight of the Yemeni people to justice.

I should be grateful if you would have the present letter circulated as a document of the Security Council.

(Signed) Gholamali **Khoshroo**  
Ambassador  
Permanent Representative

**General Assembly  
Security Council**

Distr.: General  
19 February 2018

Original: English

**General Assembly  
Seventy-second session  
Agenda item 34 (b)**

**Prevention of armed conflict: strengthening the role of  
mediation in the peaceful settlement of disputes,  
conflict prevention and resolution**

**Security Council  
Seventy-third year**

**Letter dated 28 December 2017 from the Permanent Representative  
of Kazakhstan to the United Nations addressed to the  
Secretary-General**

I have the honour to submit to you the Declaration of the Heads of member States of the Collective Security Treaty Organization (the Republic of Armenia, the Republic of Belarus, the Republic of Kazakhstan, the Kyrgyz Republic, the Russian Federation and the Republic of Tajikistan) to mark the twenty-fifth anniversary of the signing of the Collective Security Treaty and the fifteenth anniversary of the establishment of the Collective Security Treaty Organization (see annex I) and the joint statements of the Heads of member States of the Organization on the situation in and around Syria (see annex II) and on supporting the mediation efforts of the co-chairs of the Minsk Group of the Organization for Security and Cooperation in Europe on the Nagorno-Karabakh conflict settlement (see annex III), made at the summit in Minsk on 30 November 2017.

I would be grateful if the present letter and its annexes could be issued as a document of the General Assembly and of the Security Council.

(Signed) Kairat Umarov  
Ambassador  
Permanent Representative of the  
Republic of Kazakhstan  
to the United Nations



**Annex I to the letter dated 28 December 2017 from the Permanent Representative of Kazakhstan to the United Nations addressed to the Secretary-General**

[Original: Russian]

**Declaration of the Heads of State of the States members of the Collective Security Treaty Organization on the occasion of the twenty-fifth anniversary of the conclusion of the Treaty on Collective Security and the fifteenth anniversary of the founding of the Collective Security Treaty Organization**

We, the Heads of State of the States members of the Collective Security Treaty Organization, note with satisfaction that the Organization is marking its fifteenth anniversary having achieved tangible and meaningful results in all its areas of activity. The key to this success was the strong foundation laid by the Treaty on Collective Security of 15 May 1992.

The Treaty has proven itself as an adaptive and evolving framework for equitable cooperation that responds quickly and appropriately to changing circumstances around the world and led to the establishment in 2002 of the Collective Security Treaty Organization as a fully-fledged international regional security organization. A mainstay of our cooperation has been our unwavering commitment to the principles of a just and democratic world order, based on respect for the Charter of the United Nations and the norms and principles of international law. For 25 years, our objective has been to create favourable conditions and a stable environment in which our member States can meet their full potential by strengthening international and regional peace and stability and ensuring the security of our countries and our peoples. We reaffirm our commitment to the principle enshrined in the Treaty on Collective Security and the statute of the Collective Security Treaty Organization that gives priority to political and diplomatic means of conflict settlement and resolution.

Throughout its existence, the Collective Security Treaty Organization, which is a strictly defensive organization, has scrupulously observed the principle of non-interference in the internal affairs of other countries, mutual respect and equal rights, and consideration for their legitimate interests. We reject all attempts to resolve disagreements between States and international disputes that involve the use of force or the threat of use of force, incitement of inter-ethnic and interfaith intolerance and the erosion of statehood by other means, including so-called colour revolutions and hybrid warfare. In view of the continuing potential for conflict in international relations, our member States have made concerted effort to ensure that the Organization can respond firmly to any attempt to destabilize the situation or disrupt peace and security within the area of its responsibility.

We, the Heads of State of the State members of the Organization, believe that member States can use the Organization's existing legal framework to take their cooperation to a qualitatively new level by asserting the commonality of our States' strategic goals and by transforming the Organization into an effective, multi-faceted international structure that assures regional security.

Our Organization is continuing to take a proactive approach to improving its operations and enhancing its organizational and operational capacities. The States members of the Organization are fully engaged in further developing comprehensive cooperation in all aspects of the Organization's activities, including by improving its impact and authority in the international community as called for in the Organization's collective security strategy for the period until 2025, adopted in 2016.

The States members of the Organization have steadily increased their foreign policy coordination, including in the elaboration of coordinated joint responses to global and regional challenges, including crisis response and peacekeeping activities.

Since most contemporary challenges can be resolved only through increased international cooperation, we believe that greater coordination among international organizations is necessary to achieve greater synergy.

The Organization already contributes greatly to this important task. It has observer status in the United Nations and is cooperating actively with United Nations agencies, strengthening ties and building mutually beneficial relationships with the Organization for Security and Cooperation in Europe, the Shanghai Cooperation Organization, the Commonwealth of Independent States and other international and regional bodies and third countries.

We, the Heads of State of the States members of the Organization, while recognizing that political means and diplomacy take precedence, assert that the Organization is continuously enhancing the combat capabilities of its collective security forces and assets and their readiness to take part in guaranteed collective defence in response to acts of aggression against any of its member States; strengthening regional security and stability; and assuring the territorial integrity and sovereignty of its member States.

We are particularly mindful of the need for greater military cooperation and greater technical and economic cooperation for military purposes within the Organization.

We, the Heads of State of the States members of the Organization, note that the increased threat of international terrorism and extremism, illicit trafficking in arms and drugs, irregular migration, and the destructive and manipulative use of information and communication technologies to influence public opinion have prompted the Organization to focus on improving and developing its capacity to respond to a wide range of traditional and new security challenges, including those relating to State border protection. In this connection we reaffirm the statement issued by the Heads of State of the States members of the Organization on ensuring the protection of the State borders of its member States, adopted by the Council on Collective Security in Yerevan on 14 October 2016.

We are aware that foreign terrorist fighters are travelling to their countries of origin or to other States, including in the guise of refugees, for the purpose of organizing subversive and terrorist acts. This calls for greater cooperation between States to detect and apprehend those foreign terrorist fighters at State border crossings and to strengthen the fight against transnational organized crime.

We call on the States Members of the United Nations, international and regional organizations, and the international community at large to come together in a broad coalition to combat international terrorism on the basis of the principles of the Charter of the United Nations and the relevant Security Council resolutions.

In that context, the States members of the Organization highly appreciate the multipronged approach being taken by Russia to eradicate international terrorism in the Syrian Arab Republic and the readiness of the Republic of Kazakhstan to host international talks on Syria in Astana, which provided the framework for the de-escalation process in that country and laid the groundwork for reviving the Geneva talks.

We, the Heads of State of the States members of the Organization, believe that a united front is extremely important when taking a comprehensive and balanced approach to addressing the world drug problem by focusing on specific measures

aimed at eradicating global drug production, and creating an effective system for combating illicit trafficking in new synthetic drugs and other psychotropic substances.

As we implement the Counter-Narcotics Strategy of the States members of the Collective Security Treaty Organization for 2015–2020, we stand ready to continue playing our part in the fight against illicit narcotics production and trafficking, to step up joint efforts to counteract new psychoactive substances, and to fully support the radical reduction of non-medicinal use of narcotic substances in the Organization's member States. We are working towards the strategic goal of ridding the world of drugs, in accordance with the anti-drug conventions of the United Nations.

We, the Heads of State of the States members of the Organization, emphasize that the transboundary nature of contemporary challenges and threats in the field of information security demonstrates the need for greater collective efforts and the adoption of balanced measures. In order to prevent modern information technology crimes, we intend to continue working proactively to improve information security arrangements within the Organization.

We believe that a sustained focus on combating irregular migration, which is closely linked to other forms of cross-border crime, is needed. To that end, we will continue to improve cooperation between the competent authorities of the Organization's members States and the full range of joint operational, investigative and special operations conducted by the Organization.

We, the Heads of State of the States members of the Organization, assert our readiness to develop the existing system of standing and subsidiary working bodies of the Organization to ensure that it fulfils its mission in relation to evolving international situations.

We, the Heads of State of the States members of the Organization, reaffirm that the Organization remains open to cooperating with other international and regional organizations on developing a new architecture for Euro-Atlantic and Eurasian security and are determined to make the necessary efforts to ensure that the Organization continues to play a constructive role in international relations.

We, the Heads of State of the States members of the Organization, reiterate our determination to further strengthen the Organization's collective security by conferring upon it all the necessary resources to enable it to protect, on a collective basis, the stable development of our States in a secure environment in which their independence, sovereignty and territorial integrity are respected.

For the Republic of Armenia  
For the Republic of Belarus  
For the Republic of Kazakhstan  
For the Kyrgyz Republic  
For the Russian Federation  
For the Republic of Tajikistan

Minsk  
30 November 2017

**Annex II to the letter dated 28 December 2017 from the  
Permanent Representative of Kazakhstan to the United Nations  
addressed to the Secretary-General**

**Statement by the Heads of State of the States members of the  
Collective Security Treaty Organization on the situation in Syria  
and the surrounding area**

We, the Heads of State of the States members of the Collective Security Treaty Organization, reiterate our support for the sovereignty, unity and territorial integrity of the Syrian Arab Republic. We advocate the rapid elimination of the terrorist threat and an end to the internal armed conflict in the country through the use of political and diplomatic means and broad intra-Syrian dialogue, without preconditions or external interference.

We note the decisive contribution of the Russian Federation to the elimination of hotbeds of international terrorism, thereby creating the conditions for an end to the civil war and the achievement of a lasting political solution in the Syrian Arab Republic.

We commend the contribution of the Republic of Kazakhstan to resolving the situation in Syria by providing a platform for international meetings in Astana. We call on the parties concerned to take advantage of the favourable conditions in order to revitalize the negotiations in the framework of the Geneva process under the auspices of the United Nations. We consider the convening of the Congress of the Syrian national dialogue and the promotion of other initiatives that contribute to the political settlement of the Syrian crisis on the basis of Security Council resolution 2254 (2015) to be relevant.

We emphasize the need to intensify and ensure the genuine inclusivity of the intra-Syrian dialogue, which aims to consolidate all ethnic, religious and political components of Syrian society and its traditional institutions, and to involve ordinary Syrians in efforts to restore normal political life to the country and define the contours of the State structure both in the post-conflict period and in the longer term.

We express profound concern at the humanitarian situation in Syria and encourage support for de-escalation and stabilization of the country, including by helping to rebuild the economy, ending unilateral sanctions, sending additional aid to the Syrian people, assisting with humanitarian demining, preserving historical heritage and restoring essential, social and economic infrastructure.

For the Republic of Armenia  
For the Republic of Belarus  
For the Republic of Kazakhstan  
For the Kyrgyz Republic  
For the Russian Federation  
For the Republic of Tajikistan

Minsk  
30 November 2017

**Annex III to the letter dated 28 December 2017 from the  
Permanent Representative of Kazakhstan to the United Nations  
addressed to the Secretary-General**

**Statement by the Heads of State of the States members of the  
Collective Security Treaty Organization on support for the  
mediation efforts of the Minsk Group Co-Chair countries of the  
Organization for Security and Cooperation in Europe towards a  
settlement on Nagorno-Karabakh**

We, the Heads of State of the States members of the Collective Security Treaty Organization, on the twenty-fifth anniversary of the adoption of the decision by the Council of Ministers for Foreign Affairs of the Conference on Security and Co-operation in Europe that initiated the Minsk process for the settlement of the Nagorno-Karabakh conflict, reiterate our support for the efforts of the Minsk Group Co-Chair countries of the Organization for Security and Cooperation in Europe (OSCE).

The Co-Chairmanship of the OSCE Minsk Group, represented by the Russian Federation, the French Republic and the United States of America, is the only entity in the international community with a relevant mandate. Its mission is to assist the parties to achieve a settlement of the Nagorno-Karabakh conflict through political and diplomatic means.

Owing to the efforts of the three Co-Chair countries, integral principles and elements have been drawn up as a basis for resolving the conflict and these have been reflected in joint statements on the Nagorno-Karabakh conflict by the Presidents of the Russian Federation, the French Republic and the United States of America.

While reiterating the need for an exclusively peaceful solution to the Nagorno-Karabakh conflict, we support the efforts of the OSCE Minsk Group Co-Chair countries to settle the conflict on the basis of the norms and principles of international law, the provisions of the Charter of the United Nations and the Final Act of the Conference on Security and Cooperation in Europe (Helsinki Final Act), in particular concerning the non-use of force or threat of use of force, the territorial integrity of States, equal rights and the right of peoples to self-determination.

We support the implementation of the agreements reached at the summits on the Nagorno-Karabakh conflict held in Vienna, Saint Petersburg and Geneva in 2016 and 2017, which sought to prevent an escalation of the situation; promote compliance with the ceasefire and the adoption of additional measures to reduce tensions in the conflict zone; and intensify the negotiating process.

For the Republic of Armenia  
For the Republic of Belarus  
For the Republic of Kazakhstan  
For the Kyrgyz Republic  
For the Russian Federation  
For the Republic of Tajikistan

Minsk  
30 November 2017